

# The discrete beauty of local GUTs

Hans Peter Nilles

Physikalisches Institut

Universität Bonn



# Outline

- Bottom-up motivation for GUTs and
- the fate of global  $U(1)$  symmetries

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- Bottom-up motivation for GUTs and
- the fate of global  $U(1)$  symmetries

require a consistent UV-completion of the theory!

- string theory supplies
  - the concept of local grand unification
  - discrete symmetries
- moduli stabilization and Susy breakdown
  - gaugino condensation and uplifting
  - gravity and mirage mediation

# GUT evidence

Experimental findings suggest the existence of two new scales of physics beyond the standard model

$M_{\text{GUT}} \sim 10^{16} \text{ GeV}$  and  $M_{\text{SUSY}} \sim 10^3 \text{ GeV}$ :

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- **Neutrino-oscillations** and “See-Saw Mechanism”

$$m_\nu \sim M_W^2 / M_{\text{GUT}}$$

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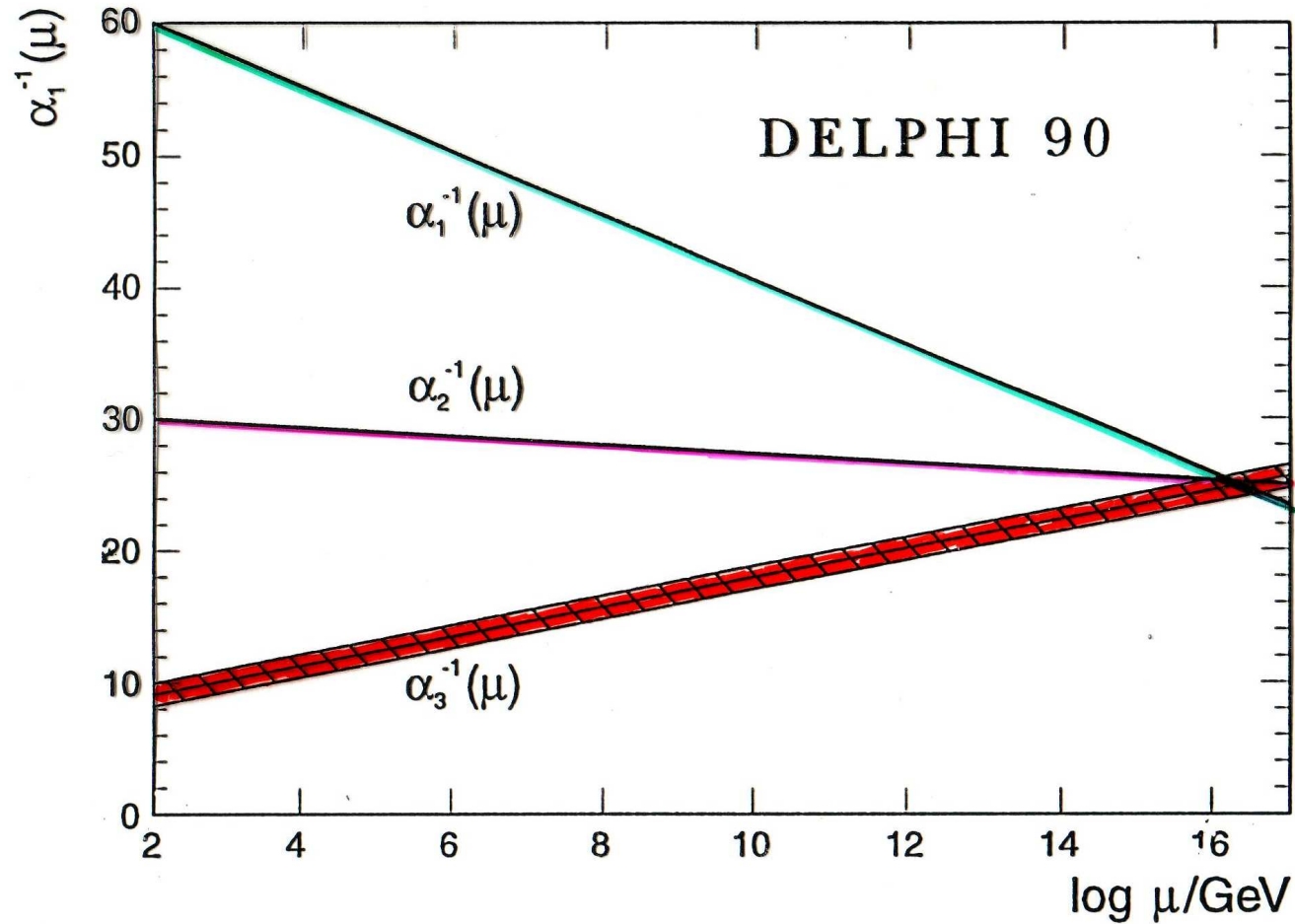
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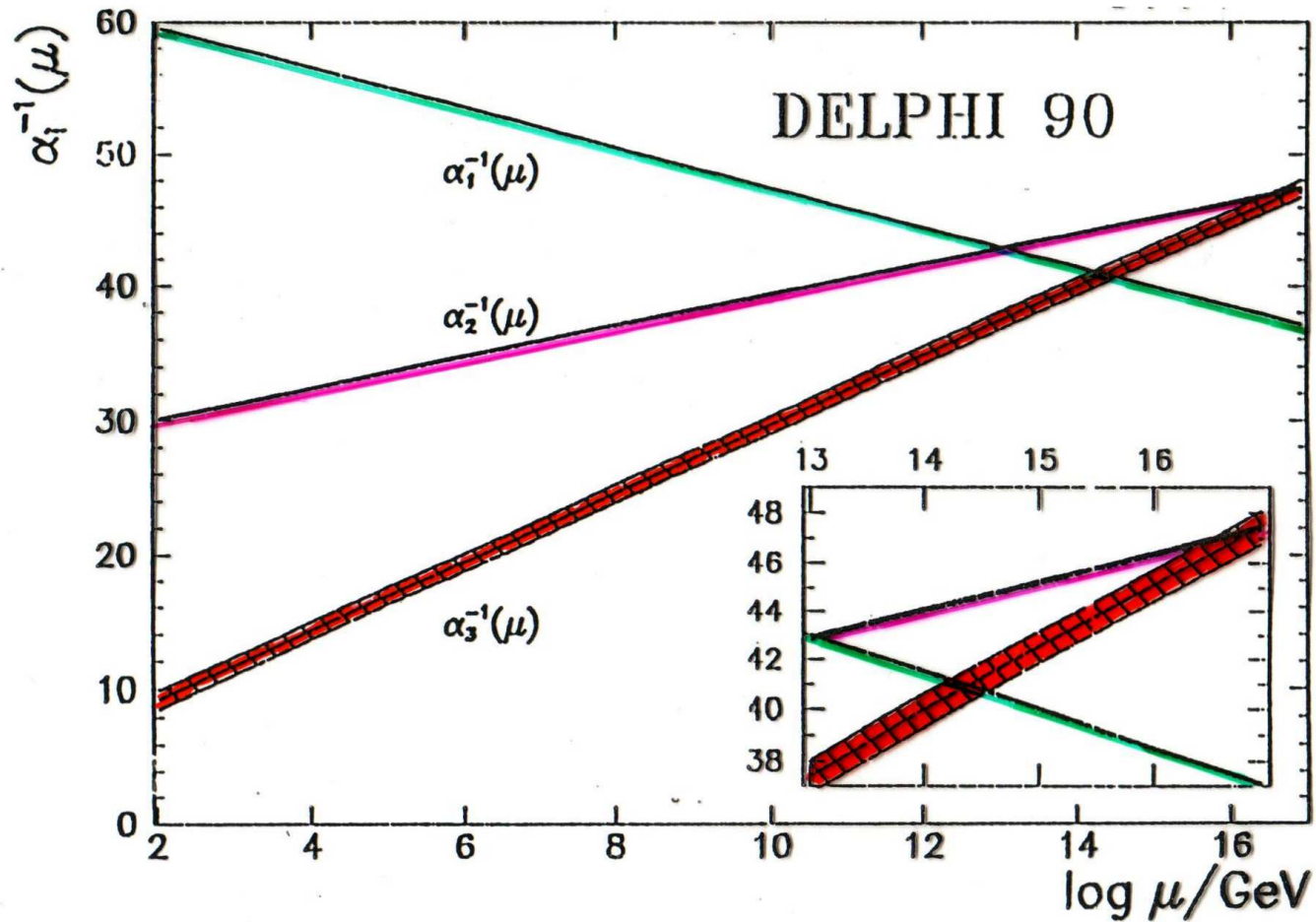
$$m_\nu \sim 10^{-3} \text{eV for } M_W \sim 100 \text{GeV,}$$

- **Evolution of couplings constants** of the standard model towards higher energies.

# MSusySM = MSSM



# Standard Model





# The fate of global symmetries

Global  $U(1)$  symmetries are very useful for

- absence of FCNC (solve **flavour problem**)
- **Yukawa textures** à la Frogatt-Nielsen
- solutions to the  **$\mu$  problem**
- axions and the **strong CP-problem**
- **R-symmetry** and proton stability

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But they might be destroyed by gravitational effects:

- **we need a UV-completion**
- **with consistent incorporation of gravity**

# String theory as a UV-completion

What do we get from string theory?

- supersymmetry
- extra spatial dimensions
- (large unified) gauge groups
- consistent theory of gravity
- many discrete symmetries

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String theory could serve as the UV-completion with a consistent incorporation of gravity,

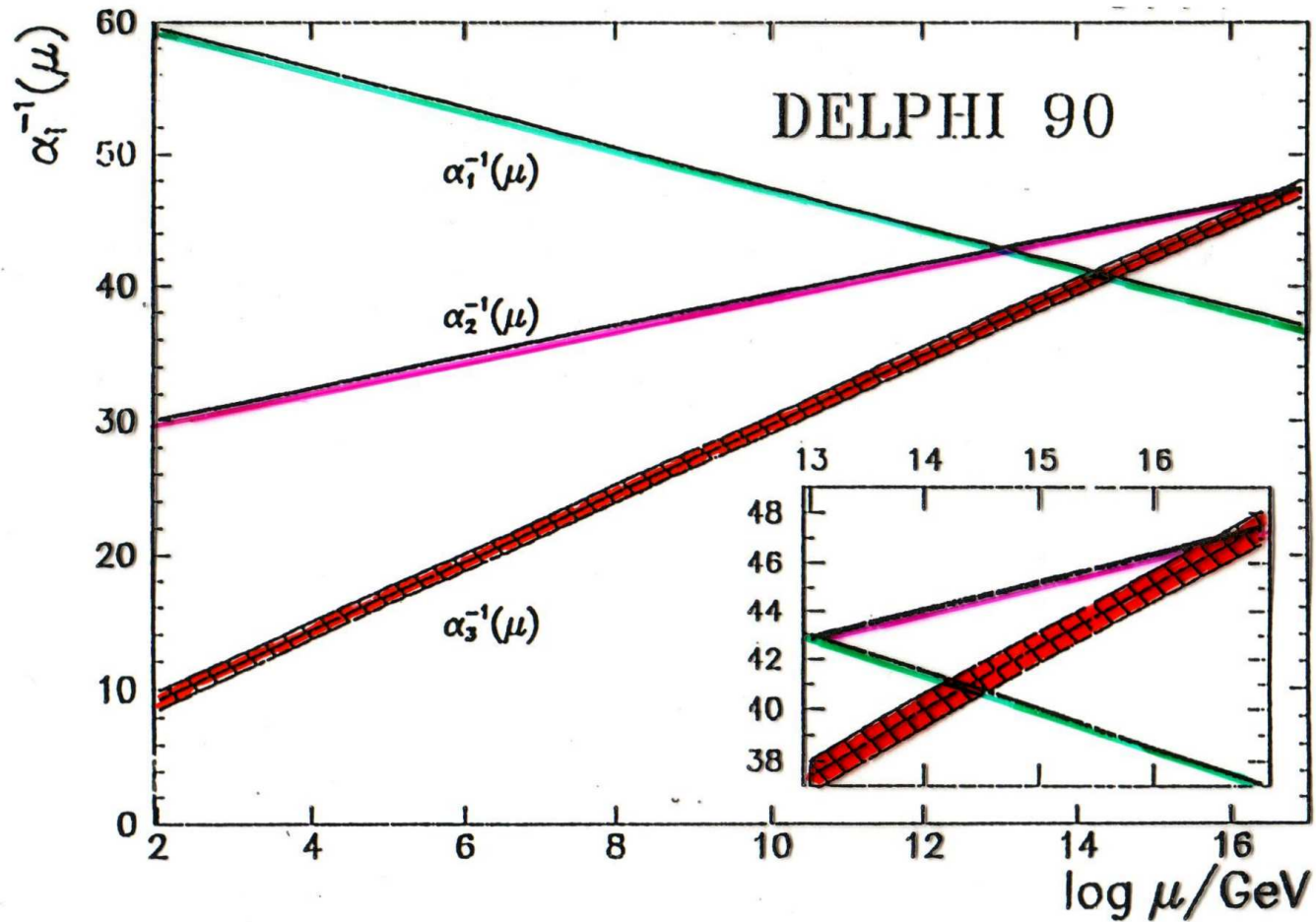
but we need to make contact with the real world (MSSM).

# Strings versus GUTs

There is some tension between GUTs and strings

- intersecting branes in type IIA,B
- F-theory

# F-theory (cum grano salis)



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There remain some corners that are compatible with

- a grand unified desert
- a variant of the GUT picture (**local GUTs**)
- **consistent** incorporation of MSSM

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)



# Local Grand Unification

In fact string theory gives us a variant of GUTs

- complete multiplets for fermion families
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- partial Yukawa unification

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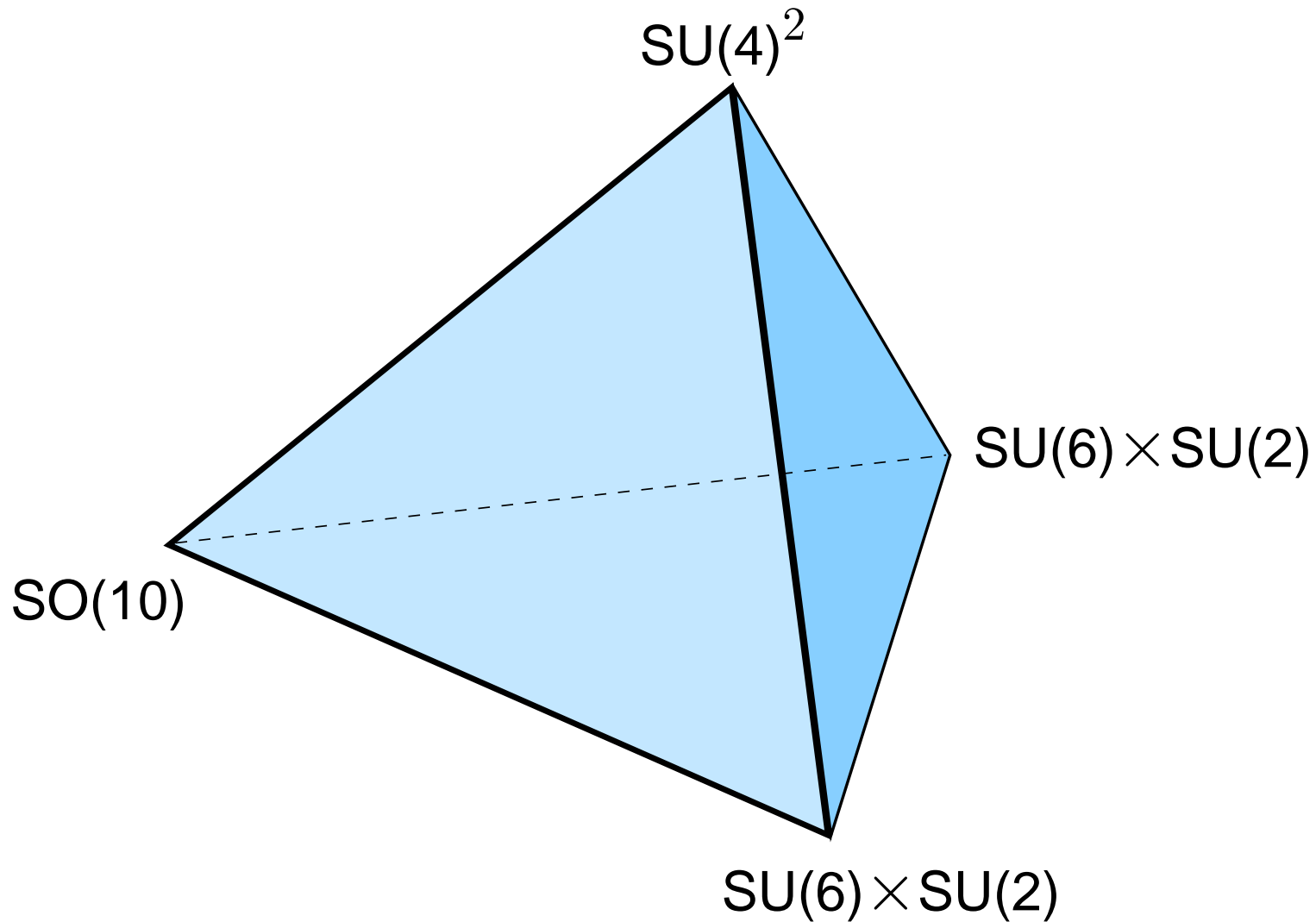
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Key properties of the theory depend on the **geography** of the fields in extra dimensions.

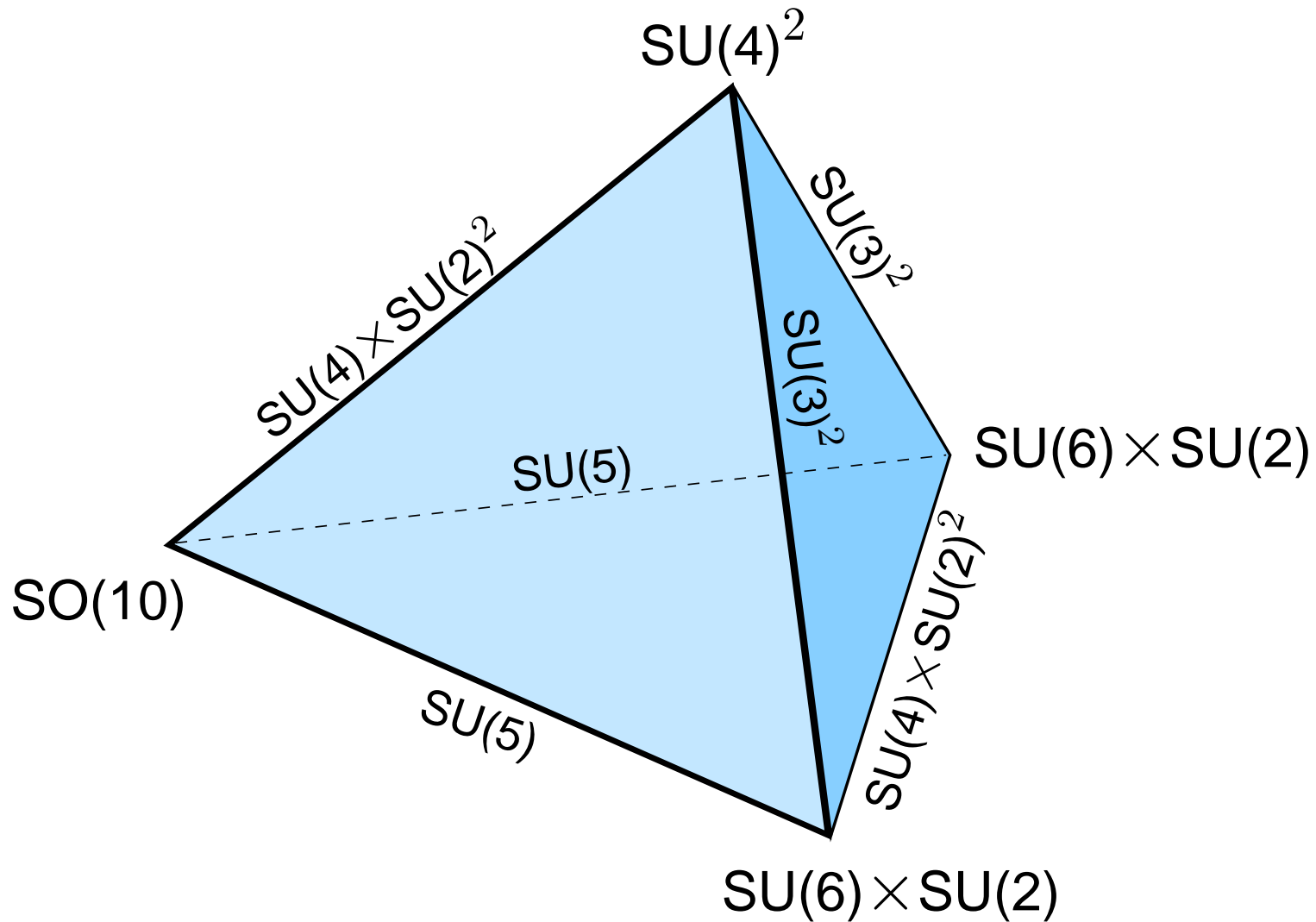
This geometrical set-up called **local grand unification**, can be realized in the framework of the **“heterotic braneworld”**.

(Förste, HPN, Vaudrevange, Wingerter, 2004; Buchmüller, Hamaguchi, Lebedev, Ratz, 2004)

# Localized gauge symmetries



# Standard Model Gauge Group



# The Heterotic Braneworld

- 300 models with the **exact spectrum of the MSSM** (absence of chiral exotics)

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007-2009)

- **local grand unification** (by construction)

- gauge- and (partial) Yukawa unification

(Raby, Wingerter, 2007)

- examples of **neutrino see-saw mechanism**

(Buchmüller, Hamguchi, Lebedev, Ramos-Sanchez, Ratz, 2007)

- models with **R-parity** + solution to the  **$\mu$ -problem**

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

- gaugino condensation and **mirage mediation**

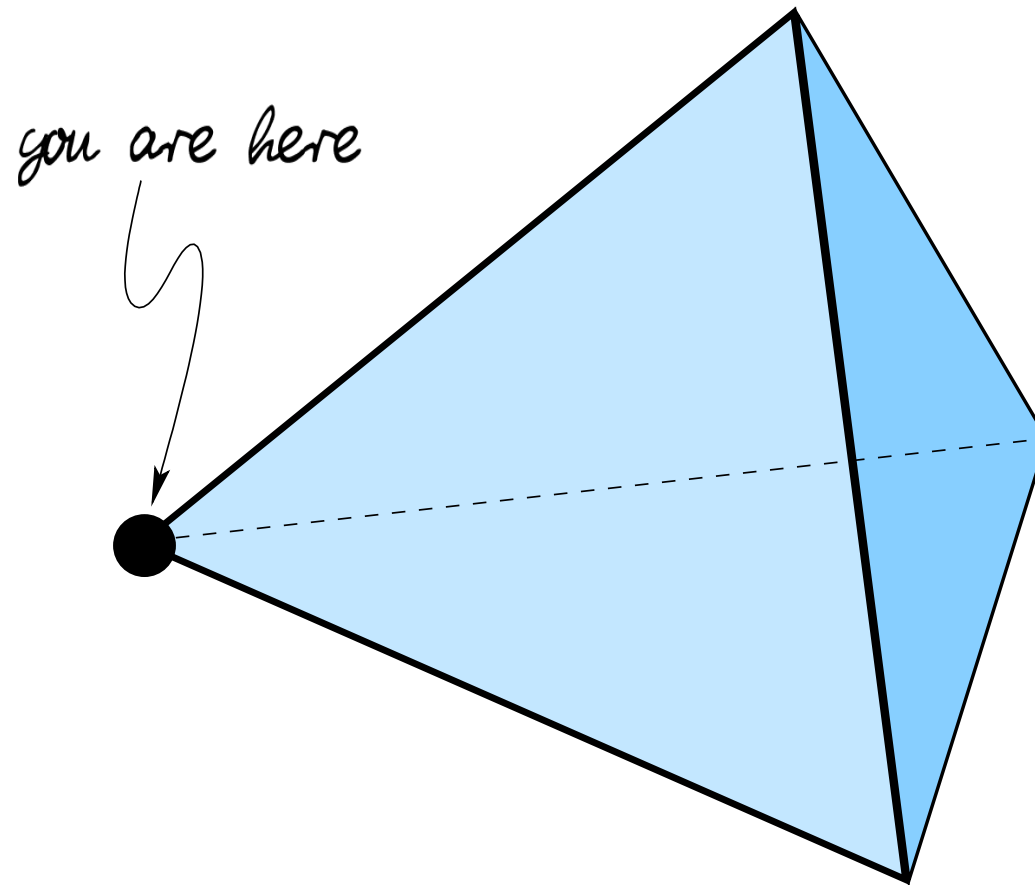
(Löwen, HPN, 2008)

# Symmetries

String theory gives us

- **gauge** symmetries
- **discrete** global symmetries from geometry and stringy selection rules  
(Kobayashi, HPN, Plöger, Raby, Ratz, 2006)
- **accidental global**  $U(1)$  symmetries in the low energy effective action  
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# Location matters



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We might live close to a fixed point with enhanced symmetries that explain small parameters in the low energy effective theory.

The symmetries can be trusted as we are working within a consistent theory of gravity.



# Applications of global symmetries

## Applications of discrete and accidental global symmetries:

- (nonabelian) family symmetries (and FCNC)  
(Ko, Kobayashi, Park, Raby, 2007)
- Yukawa textures (via Frogatt-Nielsen mechanism)
- a solution to the  $\mu$ -problem  
(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)
- creation of hierarchies  
(Kappl, HPN, Ramos-Sanchez, Ratz, Schmidt-Hoberg, Vaudrevange, 2008)
- proton stability via “Proton Hexality”  
(Dreiner, Luhn, Thormeier, 2005; Förste, HPN, Ramos-Sanchez, Vaudrevange, 2010)
- approximate global  $U(1)$  for a QCD action  
(Choi, Kim, Kim, 2006; Choi, HPN, Ramos-Sanchez, Vaudrevange, 2008)

# A Benchmark Model

At the orbifold point the gauge group is

$$SU(3) \times SU(2) \times U(1)^9 \times SU(4) \times SU(2)$$

- one  $U(1)$  is anomalous
- there are singlets and vectorlike exotics
- decoupling of exotics and breakdown of gauge group has been verified
- remaining gauge group

$$SU(3) \times SU(2) \times U(1)_Y \times SU(4)_{\text{hidden}}$$

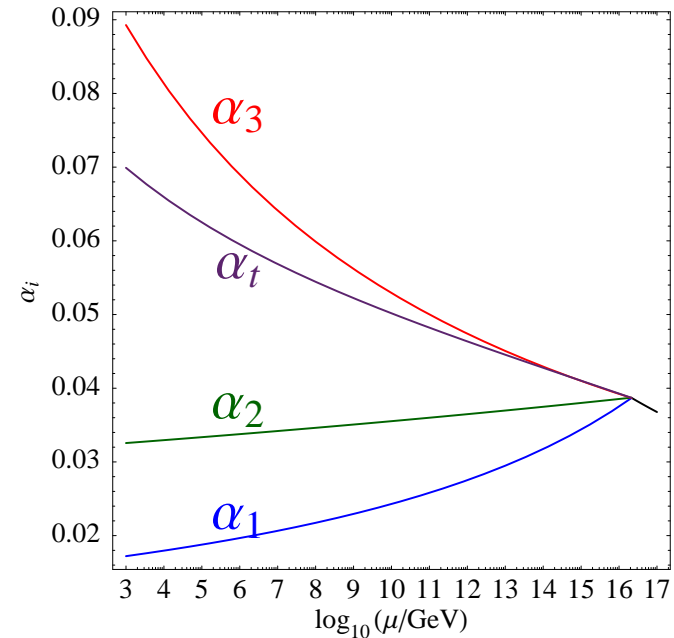
- for discussion of neutrinos and R-parity we keep also the  $U(1)_{B-L}$  charges

# Spectrum

| #     | irrep  | label            | #  | irrep   | label         |
|-------|--|------------------|----|---|---------------|
| 3     | $(\mathbf{3}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/6, 1/3)}$        | $q_i$            | 3  | $(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-2/3, -1/3)}$ | $\bar{u}_i$   |
| 3     | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1, 1)}$            | $\bar{e}_i$      | 8  | $(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(0, *)}$             | $m_i$         |
| 3 + 1 | $(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, -1/3)}$ | $\bar{d}_i$      | 1  | $(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, 1/3)}$        | $d_i$         |
| 3 + 1 | $(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1/2, -1)}$        | $l_i$            | 1  | $(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/2, 1)}$           | $\bar{l}_i$   |
| 1     | $(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1/2, 0)}$         | $h_d$            | 1  | $(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/2, 0)}$           | $h_u$         |
| 6     | $(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, 2/3)}$  | $\bar{\delta}_i$ | 6  | $(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, -2/3)}$       | $\delta_i$    |
| 14    | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/2, *)}$          | $s_i^+$          | 14 | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/2, *)}$          | $s_i^-$       |
| 16    | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 1)}$            | $\bar{n}_i$      | 13 | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, -1)}$            | $n_i$         |
| 5     | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 1)}$            | $\bar{\eta}_i$   | 5  | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, -1)}$            | $\eta_i$      |
| 10    | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 0)}$            | $h_i$            | 2  | $(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{2})_{(0, 0)}$             | $y_i$         |
| 6     | $(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(0, *)}$            | $f_i$            | 6  | $(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(0, *)}$       | $\bar{f}_i$   |
| 2     | $(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(-1/2, -1)}$        | $f_i^-$          | 2  | $(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(1/2, 1)}$     | $\bar{f}_i^+$ |
| 4     | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, \pm 2)}$        | $\chi_i$         | 32 | $(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 0)}$             | $s_i^0$       |
| 2     | $(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/6, 2/3)}$ | $\bar{v}_i$      | 2  | $(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/6, -2/3)}$        | $v_i$         |

# Unification

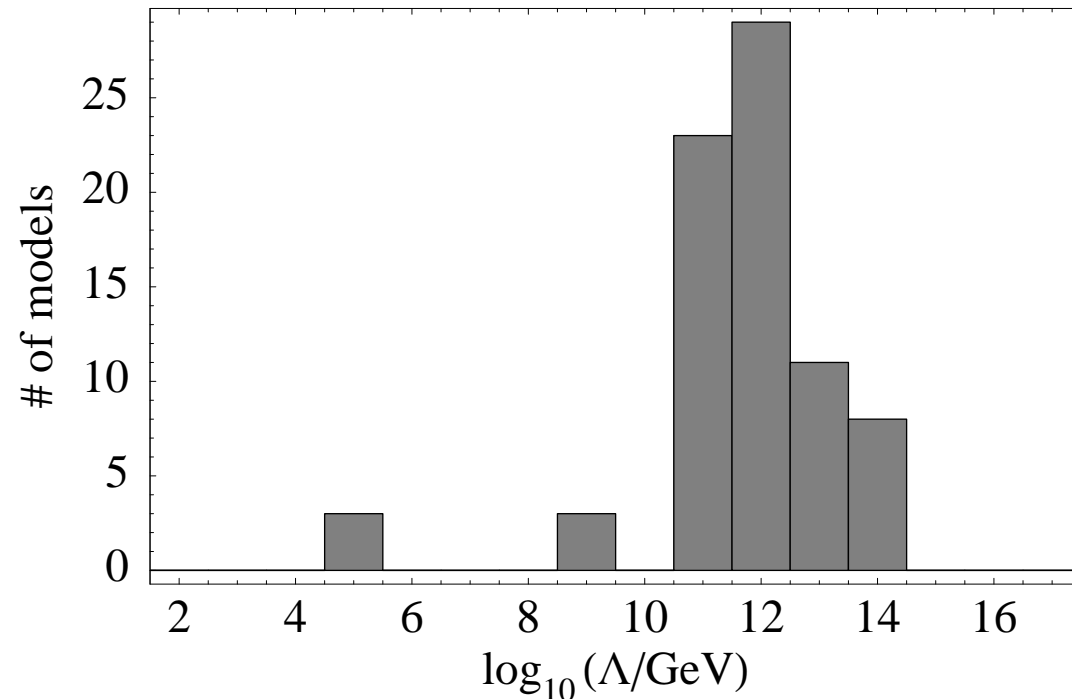
- Higgs doublets are in untwisted (U3) sector
- trilinear coupling to the top-quark allowed
- heavy top quark



- threshold corrections (“on third torus”) allow unification at correct scale around  $10^{16}$  GeV
- natural incorporation of gauge-Yukawa unification

(Hosteins, Kappl, Ratz, Schmidt-Hoberg, 2009)

# Hidden Sector Gaugino Condensation



Gravitino mass  $m_{3/2} = \Lambda^3 / M_{\text{Planck}}^2$  and  $\Lambda \sim \exp(-S)$

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2006)

# Dilaton (Modulus) Domination

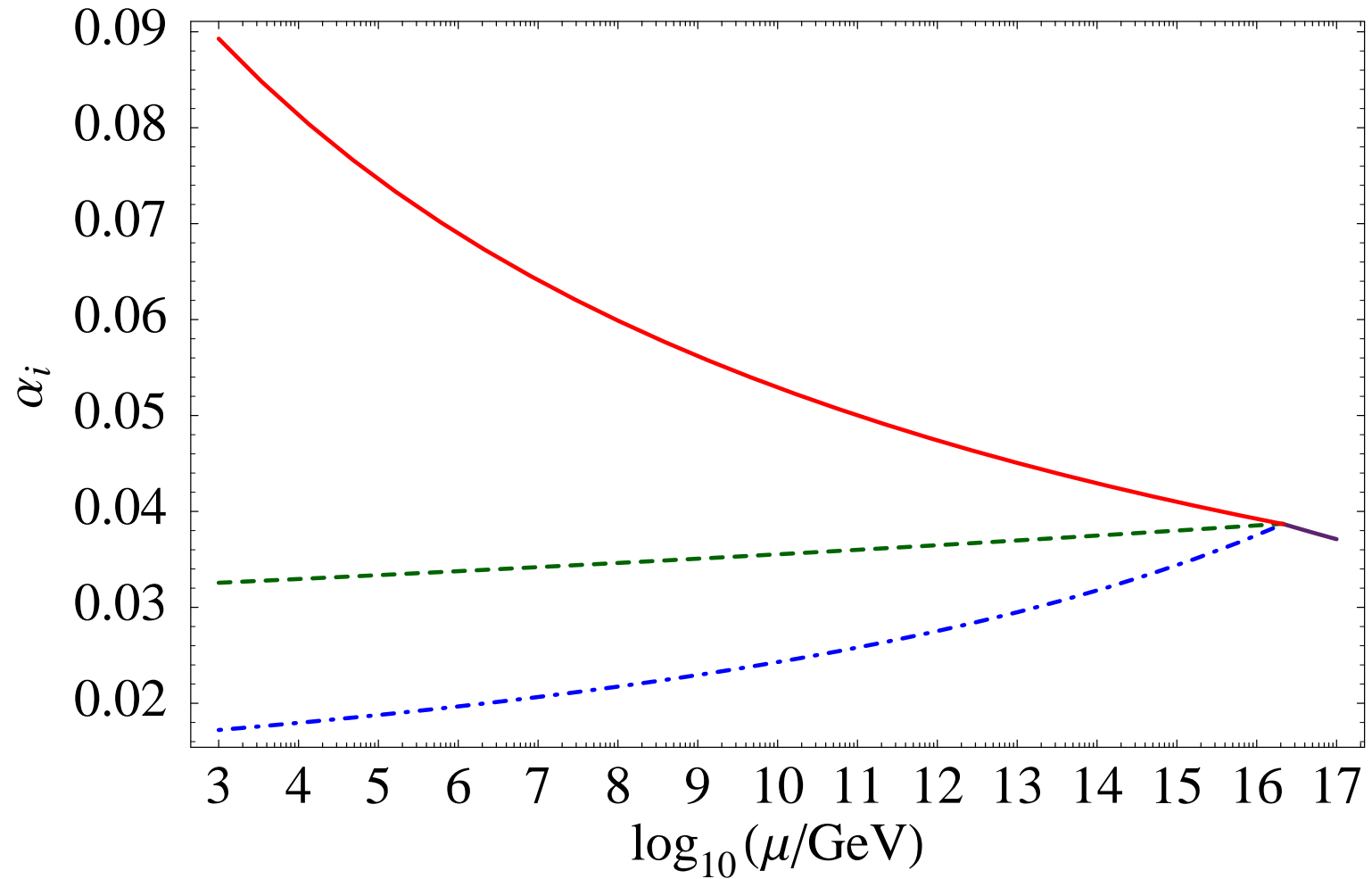
This leads to a variant the “gravity mediation” scenario,

- but we still have to adjust the vacuum energy.

Here we need a “downlifting” mechanism:

- “downlifting” mechanism can fix  $S$  as well (no need for nonperturbative corrections to the Kähler potential)  
(Löwen, HPN, 2008)
- gives a suppression factor  $\log(m_{3/2}/M_{\text{Planck}})$   
(Choi, Falkowski, HPN, Olechowski, 2005)
- mirage mediation for gaugino masses

# Evolution of couplings

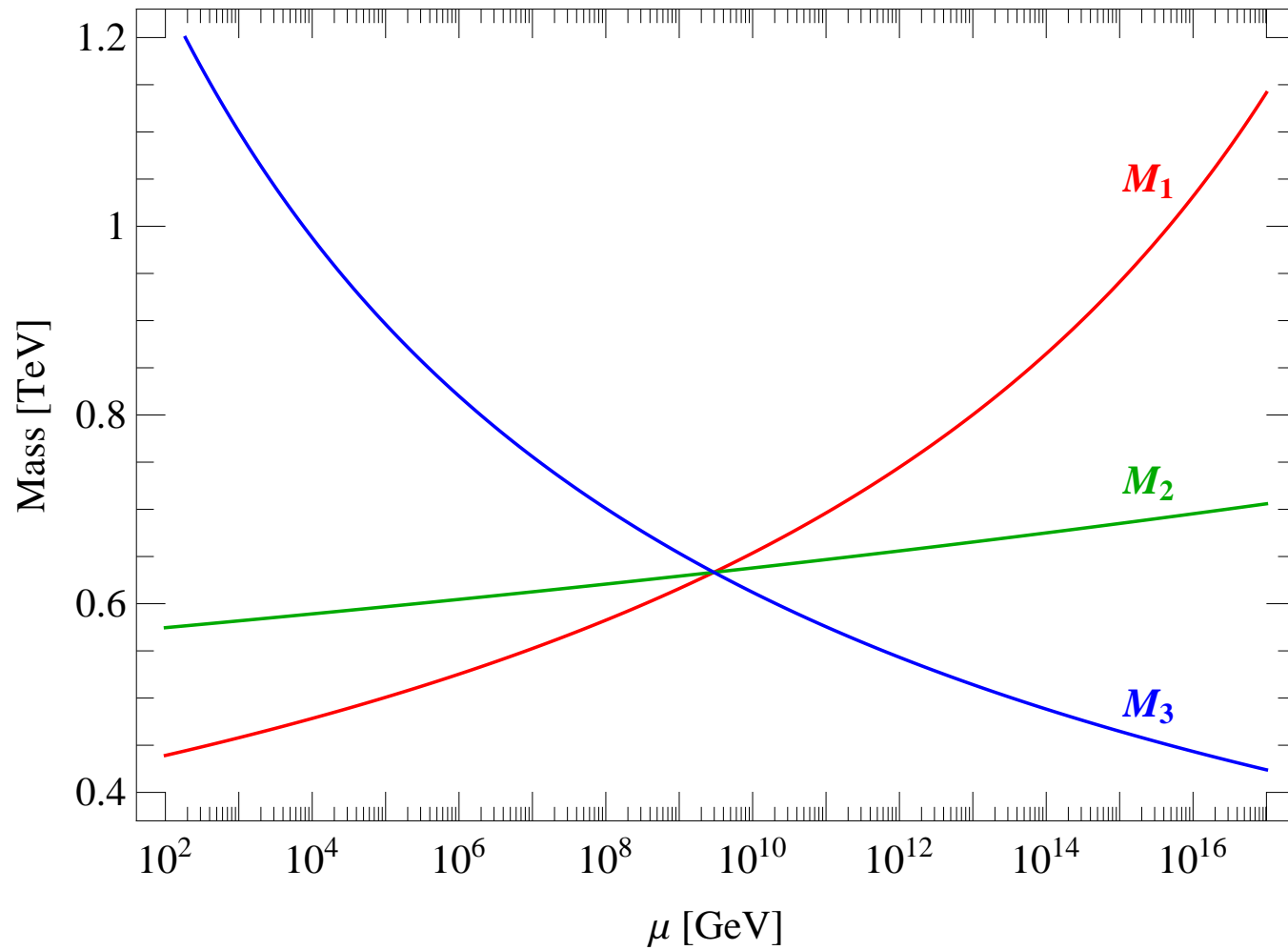


# Mirage Scale

$$\alpha = 1$$

$$m_{3/2} = 20 \text{ TeV}$$

$$\phi = 0$$





# The Gaugino Code

Mixed boundary conditions at the GUT scale characterized by the parameter  $\alpha$ :  
the ratio of modulus to anomaly mediation.

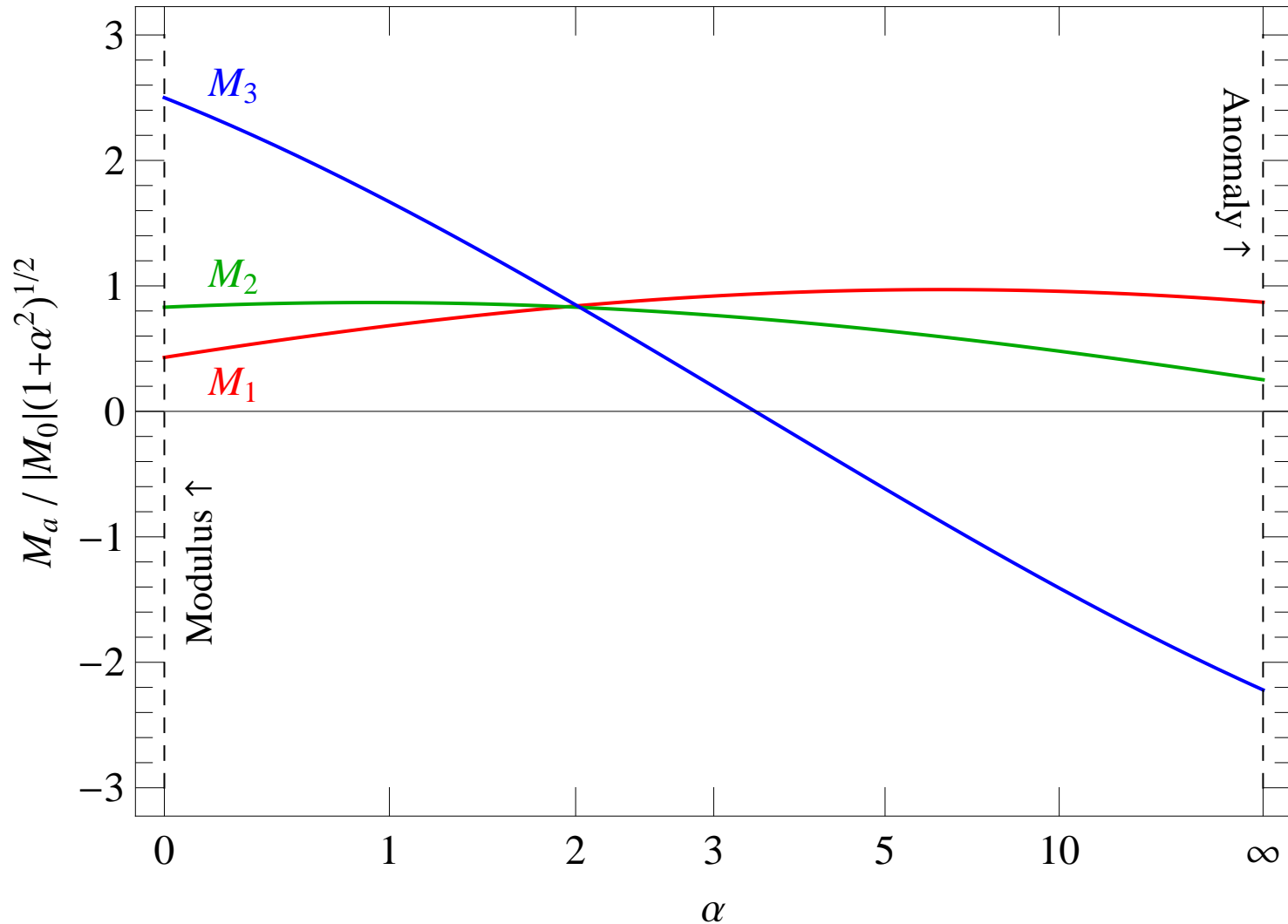
- $M_1 : M_2 : M_3 \simeq 1 : 2 : 6$  for  $\alpha \simeq 0$
- $M_1 : M_2 : M_3 \simeq 1 : 1.3 : 2.5$  for  $\alpha \simeq 1$
- $M_1 : M_2 : M_3 \simeq 1 : 1 : 1$  for  $\alpha \simeq 2$
- $M_1 : M_2 : M_3 \simeq 3.3 : 1 : 9$  for  $\alpha \simeq \infty$

The mirage scheme leads to

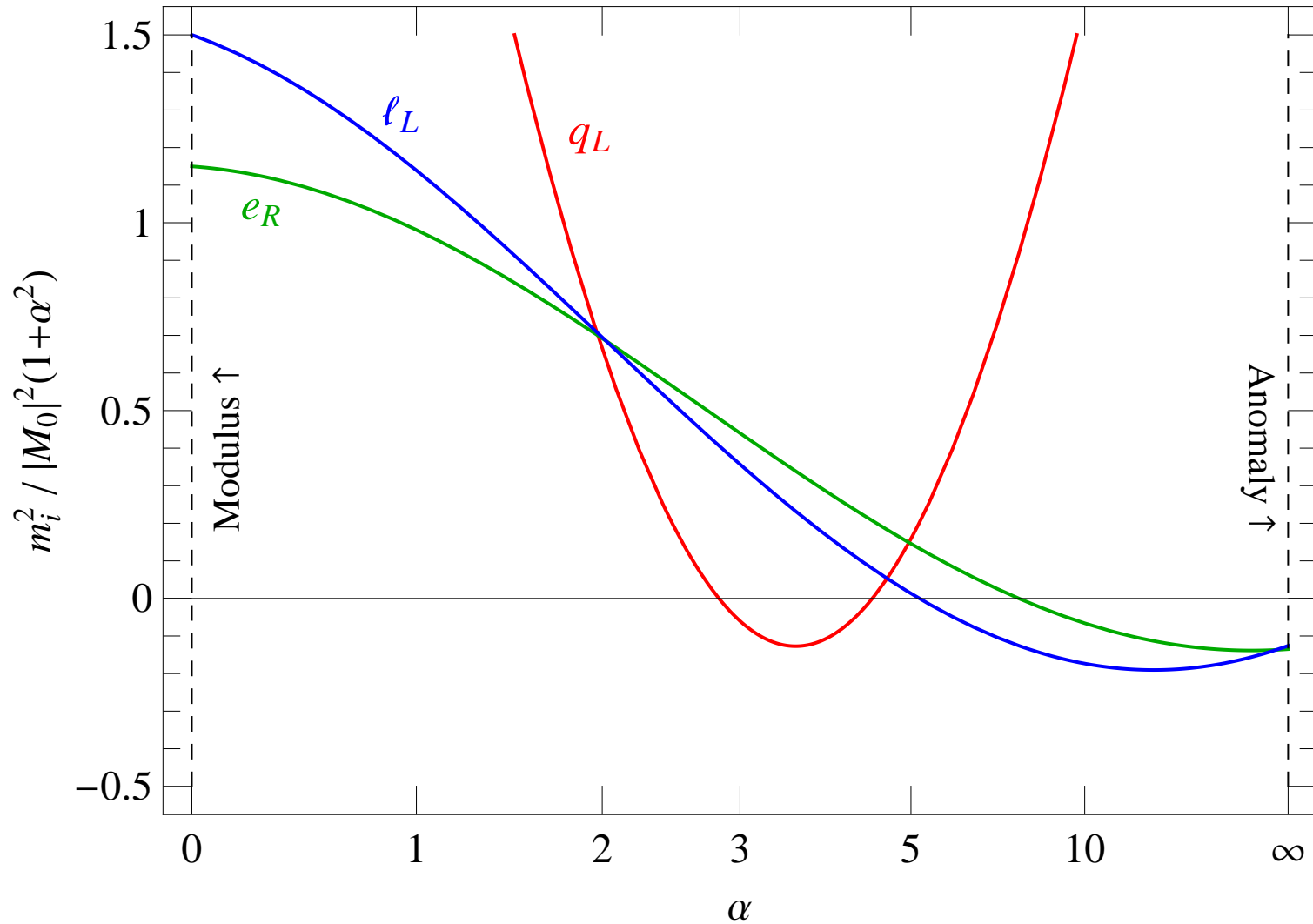
- LSP  $\chi_1^0$  predominantly Bino
- a “compact” gaugino mass pattern.

(Choi, HPN, 2007; Löwen, HPN, 2009)

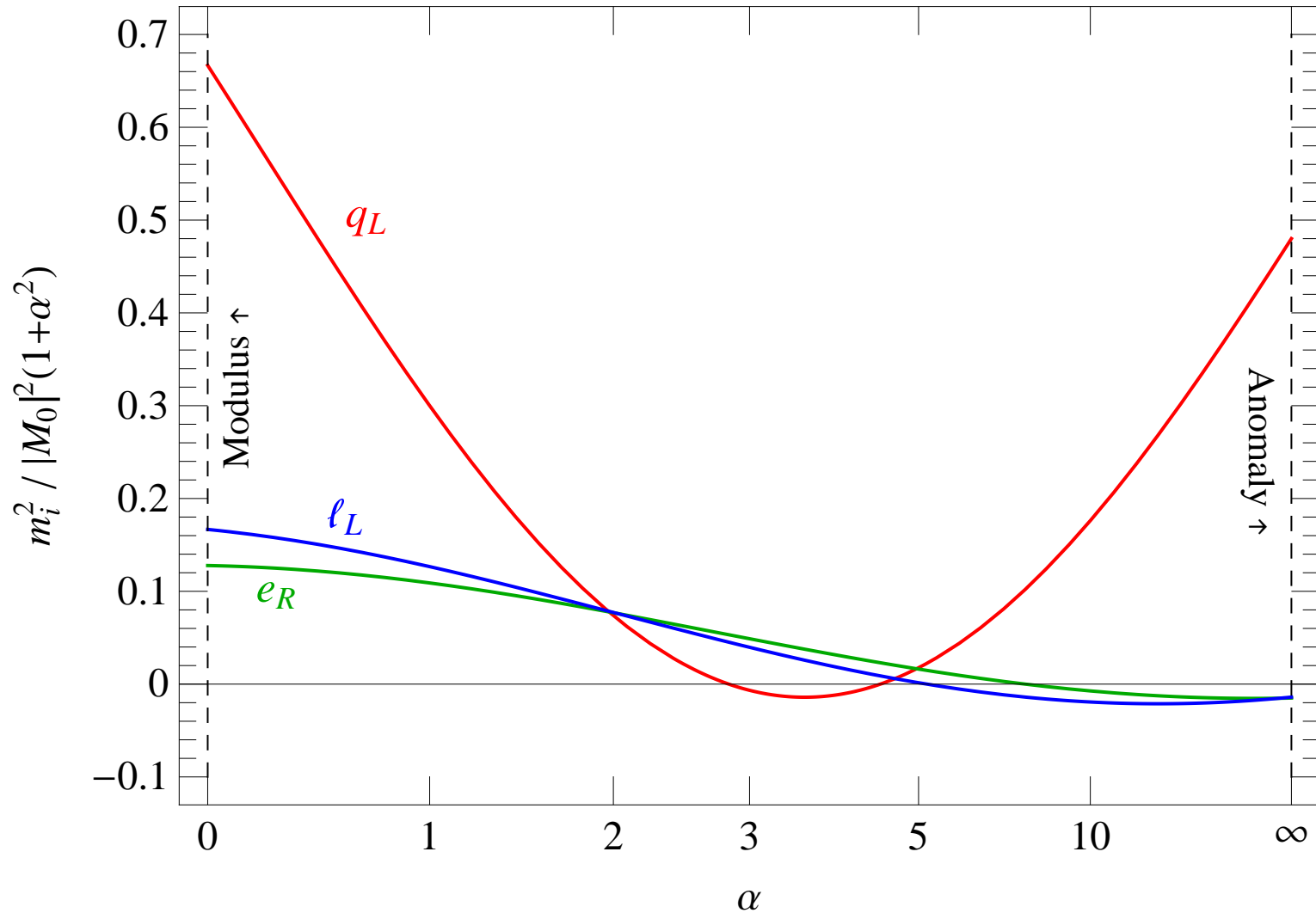
# Gaugino Masses



# Scalar Masses



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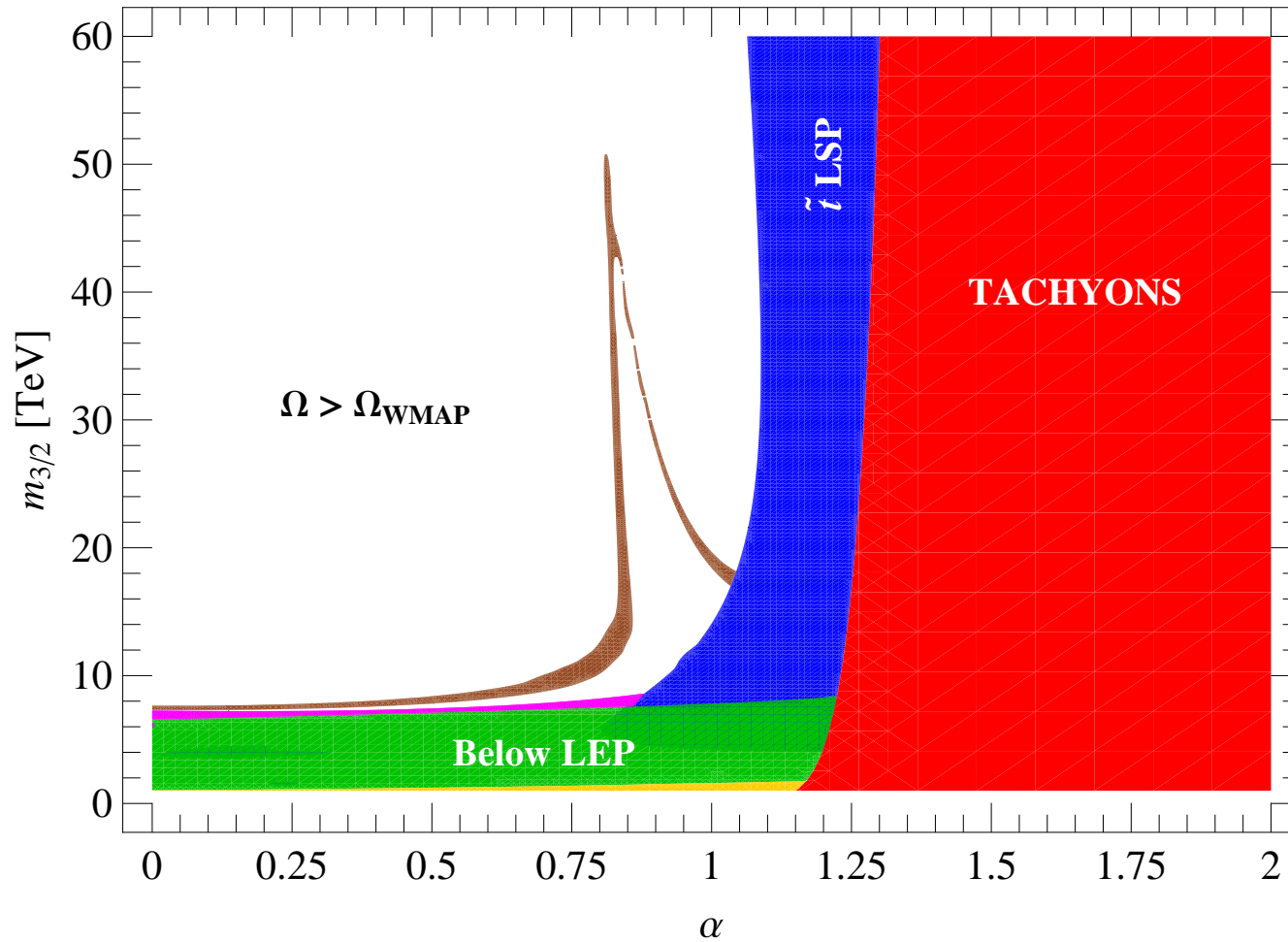


# Constraints on $\alpha$

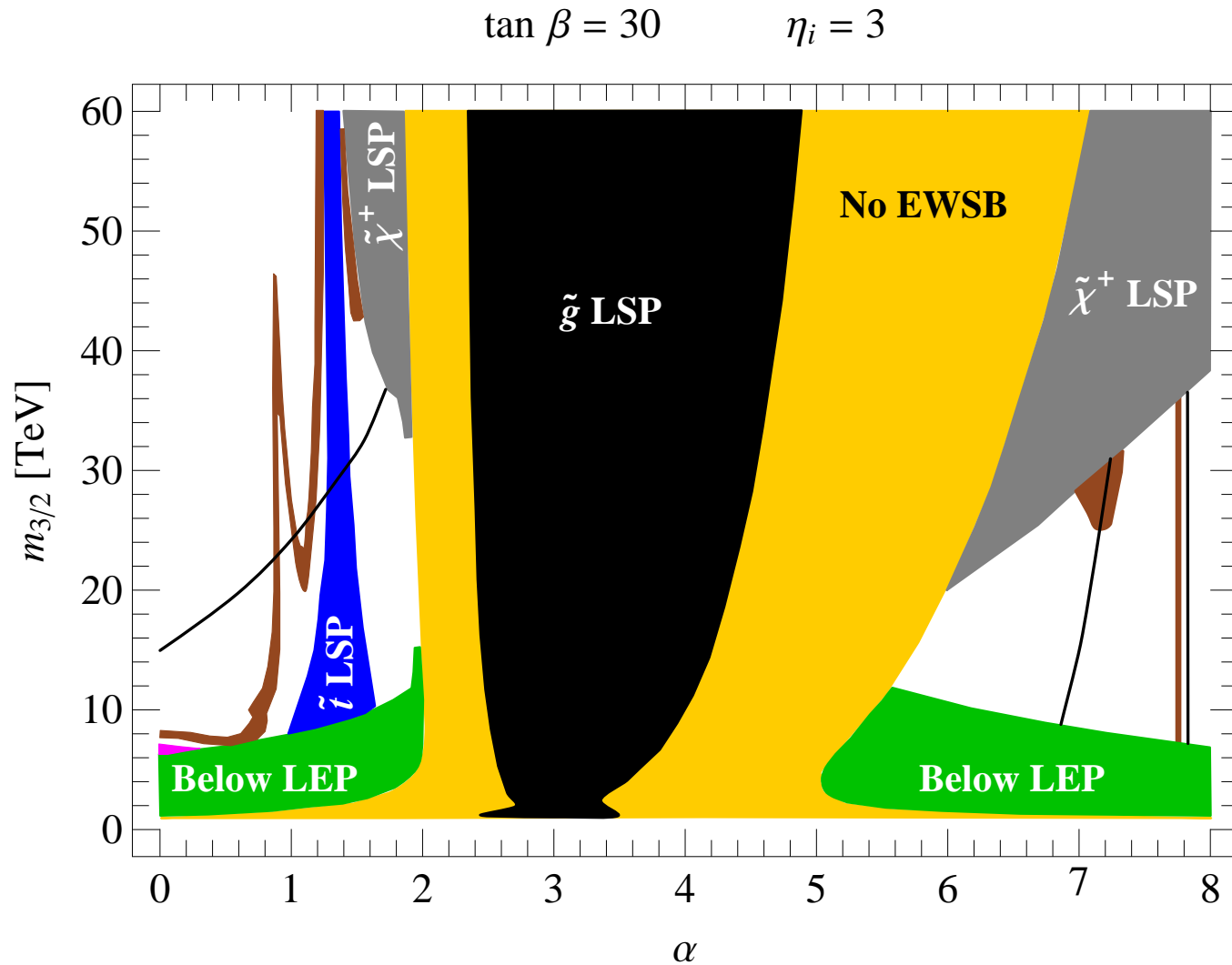
$$\tan \beta = 30$$

$$\xi = 1/3$$

$$\phi = 0$$



# Constraints on $\alpha$ (modified mirage)



# Conclusion

String theory might provide us with a **consistent** UV-completion of the MSSM including

- Local Grand Unification
- Accidental symmetries (of discrete origin)

**Geography of extra dimensions** plays a crucial role:

- gauge-Yukawa unification and a naturally heavy top
- gravity-mirage mediation without a “flavour problem”

**We seem to live at a special place in the extra dimensions!**

The LHC might clarify the case for (local) grand unification.

# Where do we live?

