

# Strings and Unification

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## Recent progress:

- explicit model building towards the MSSM
  - Heterotic brane world
  - local grand unification
- moduli stabilization and Susy breakdown
  - gaugino condensation and uplifting
  - mirage mediation

# The road to the Standard Model

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But there might be more:

- supersymmetry (SM extended to MSSM)
- neutrino masses and mixings

as a hint for a large mass scale around  $10^{16}$  GeV

# Indirect evidence

Experimental findings suggest the existence of two new scales of physics beyond the standard model

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$$m_\nu \sim M_W^2 / M_{\text{GUT}}$$

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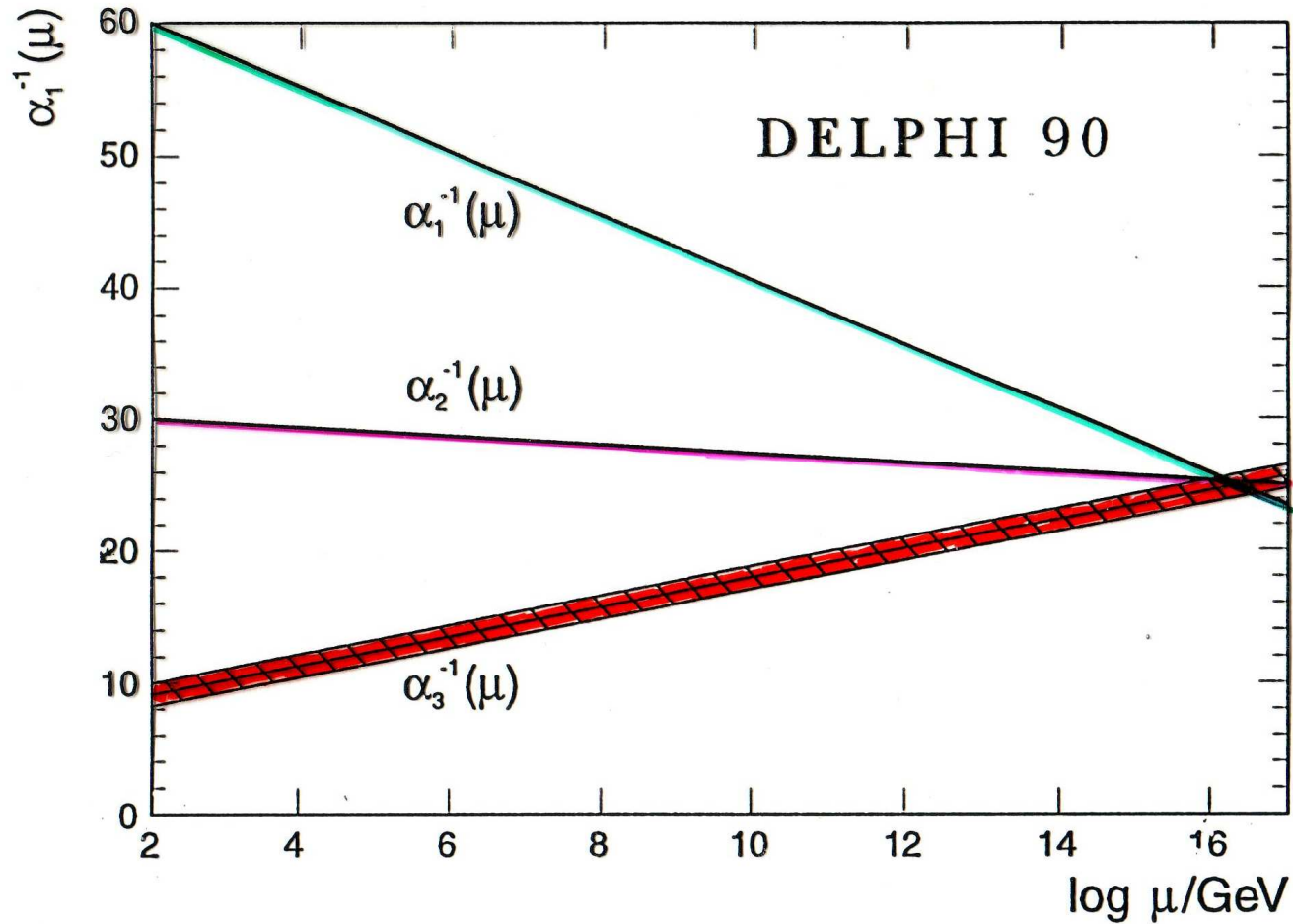
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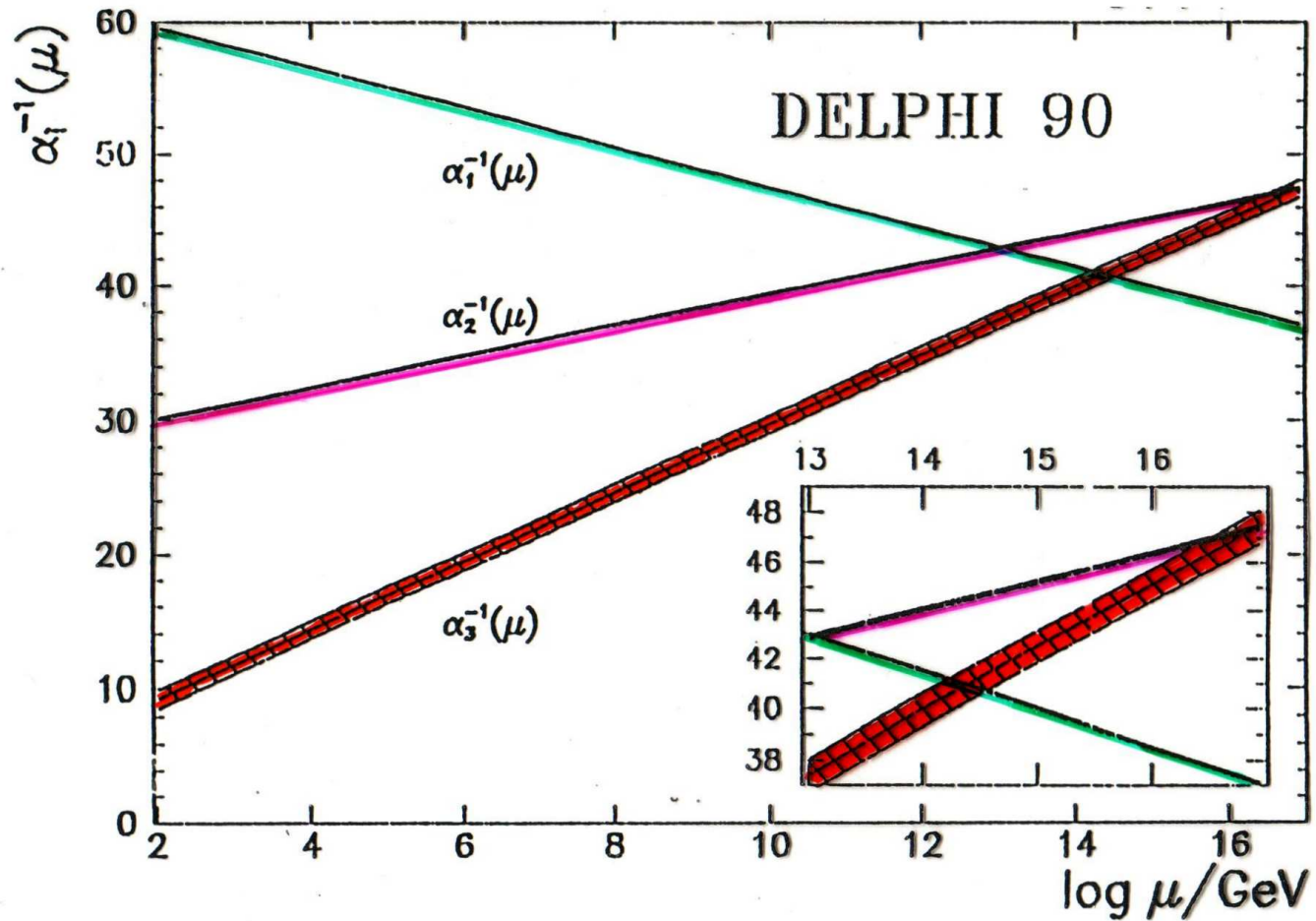
- **Evolution of couplings constants** of the standard model towards higher energies.



# MSSM (supersymmetric)



# Standard Model



# Grand Unification

This leads to SUSY-GUTs with nice things like

- unified multiplets (e.g. **spinors of  $SO(10)$** )
- gauge coupling unification
- Yukawa unification
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But there remain a few difficulties:

- breakdown of GUT group (large representations)
- doublet-triplet splitting problem (incomplete multiplets)
- proton stability (need for R-parity)

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Can we avoid these problems in a more complete theory?

# String theory candidates

In ten space-time dimensions.....

- Type I  $SO(32)$
- Type II orientifolds (F-theory)
- Heterotic  $SO(32)$
- Heterotic  $E_8 \times E_8$
- Intersecting Branes  $U(N)^M$



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....or in eleven

- Horava-Witten heterotic M-theory
- Type IIA on manifolds with  $G_2$  holonomy

# String Theory

What do we get from string theory?

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- large unified gauge groups
- consistent theory of gravity

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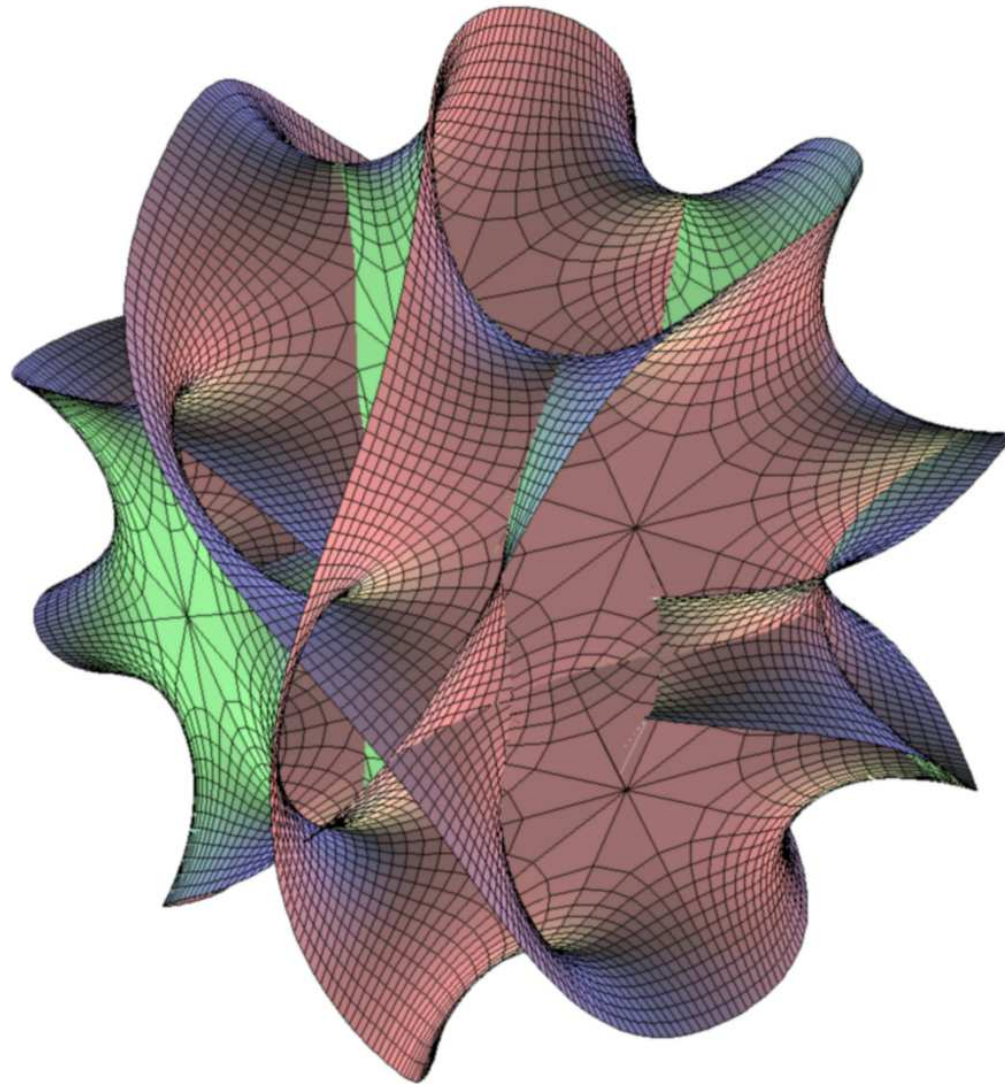
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These are the building blocks for a **unified theory** of all the fundamental interactions.

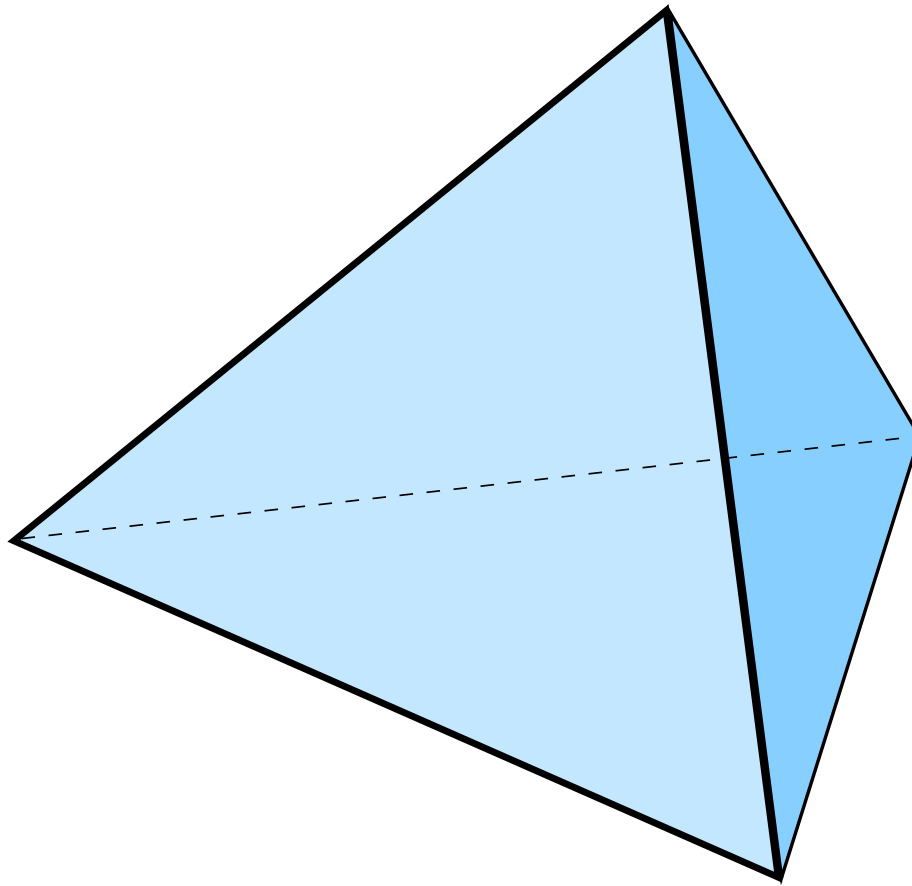
But do they fit together, and if yes how?

**We need to understand the mechanism of compactification of the extra spatial dimensions**

# Calabi Yau Manifold



# Orbifold



# Orbifolds

Orbifold compactifications combine the

- **success** of Calabi-Yau compactification
- **calculability** of torus compactification

# Orbifolds

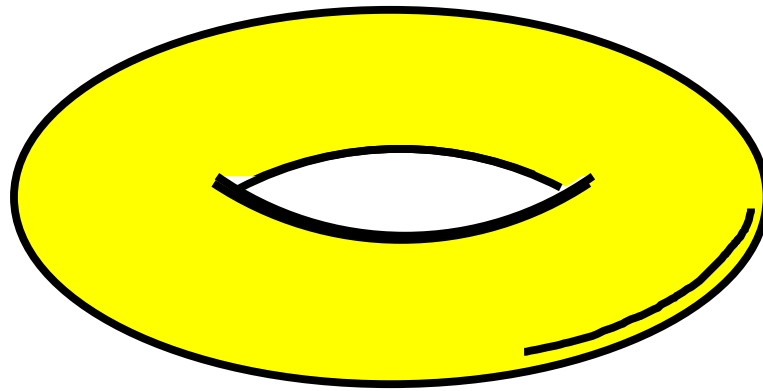
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In case of the **heterotic string** fields can propagate

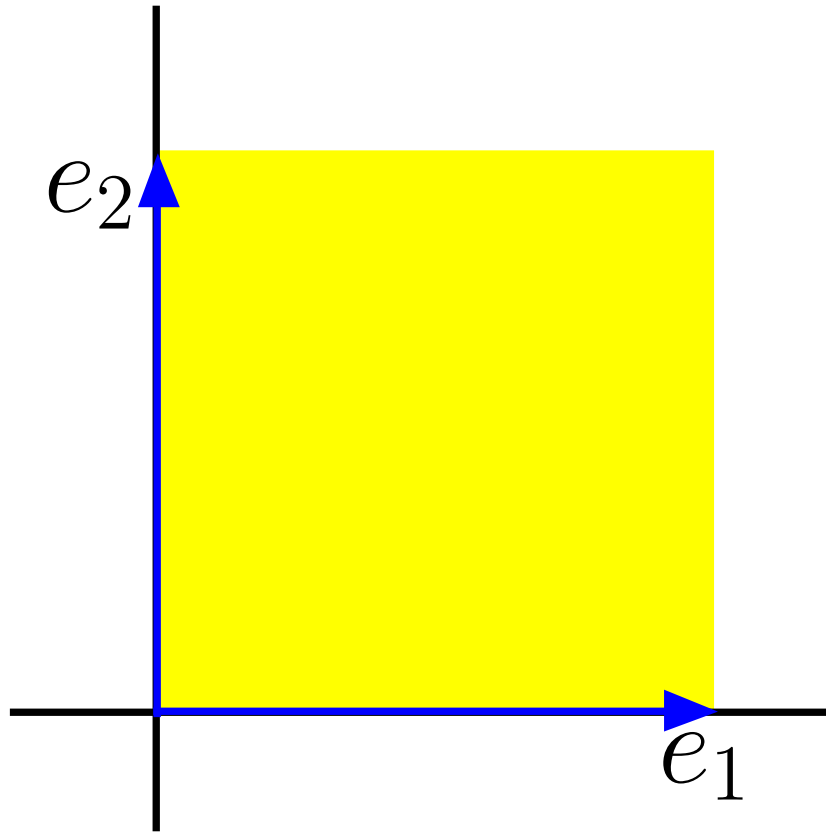
- in the Bulk ( $d = 10$  **untwisted** sector)
- on 3-Branes ( $d = 4$  twisted sector **fixed points**)
- on 5-Branes ( $d = 6$  twisted sector **fixed tori**)

# Example: Torus $T_2$

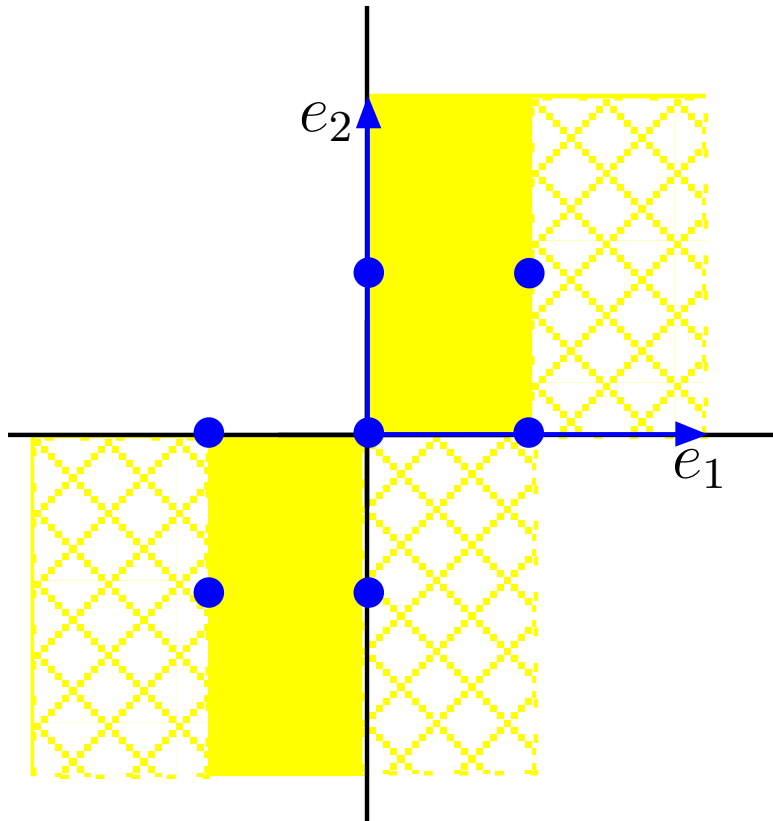




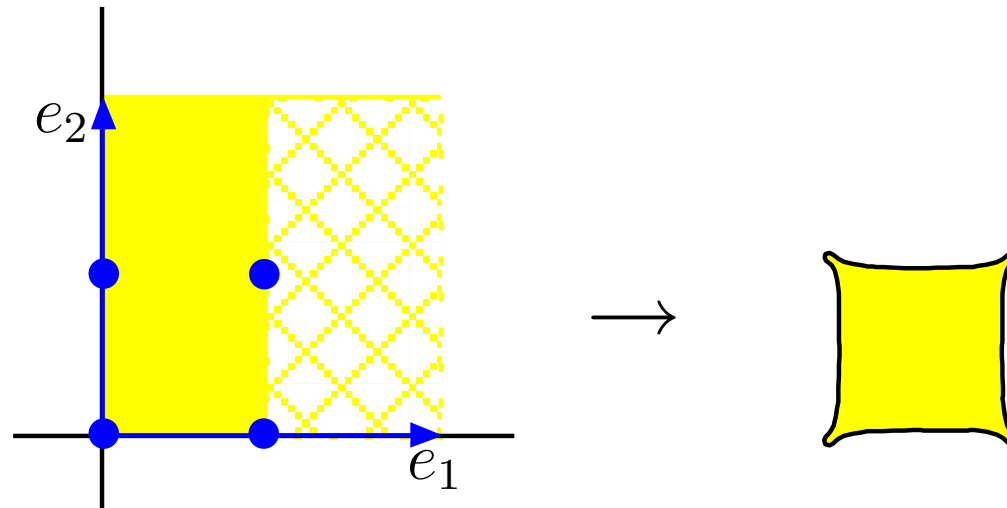
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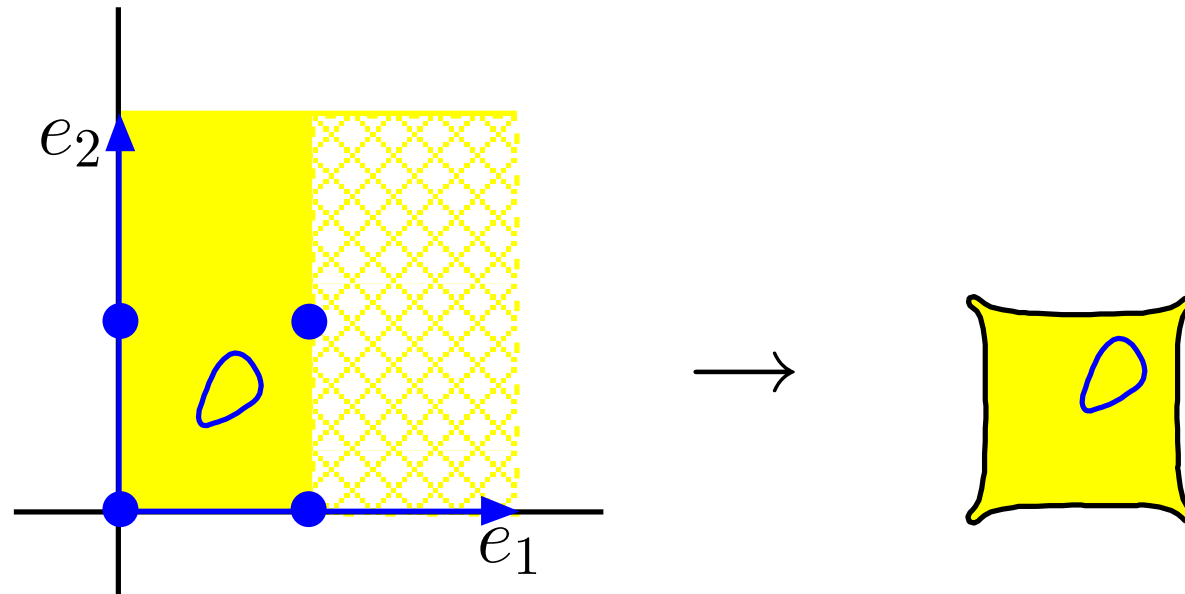
# Orbifolding



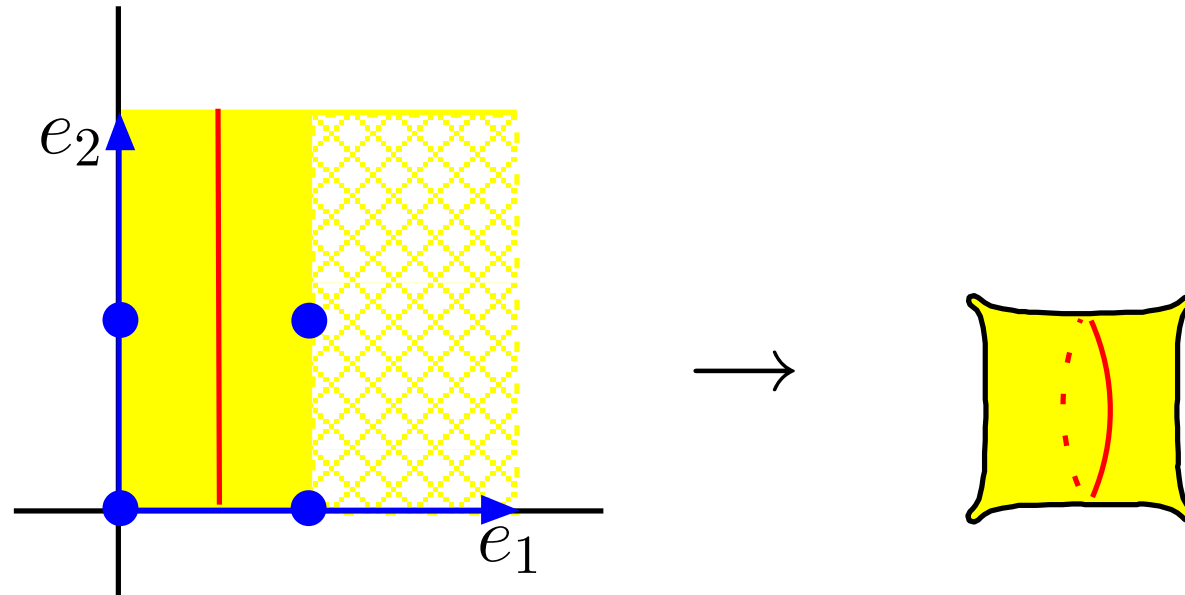
# Ravioli



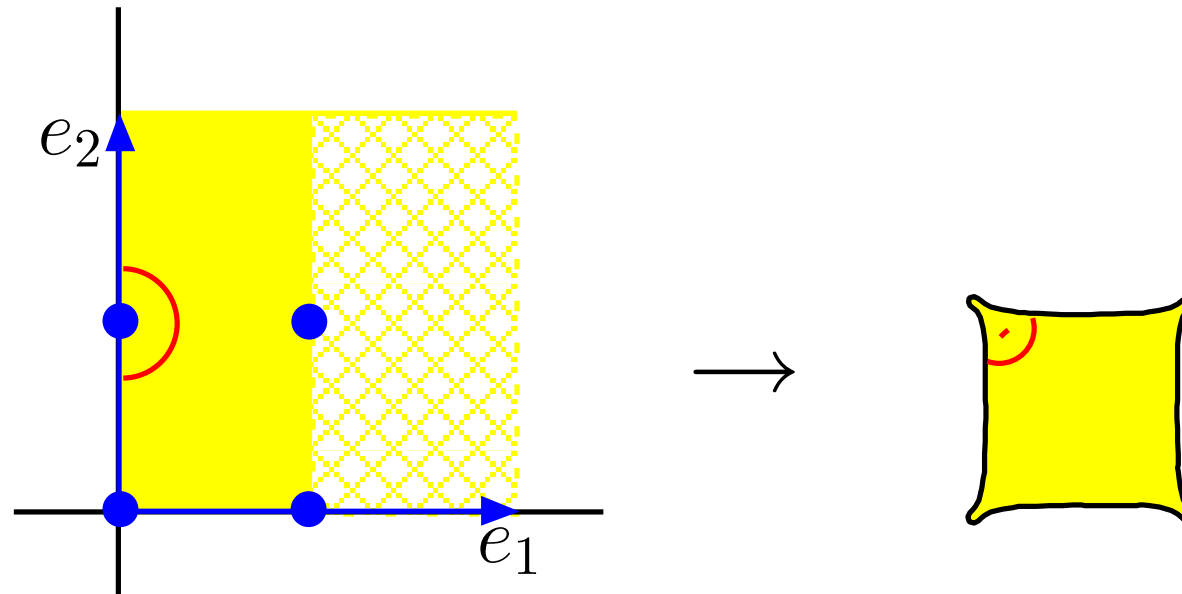
# Bulk Modes



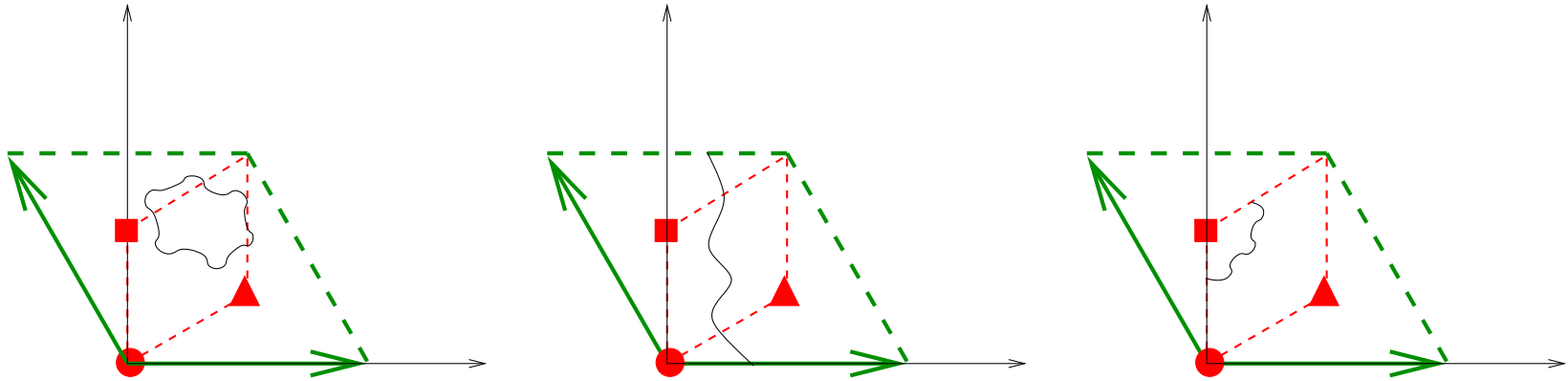
# Winding Modes



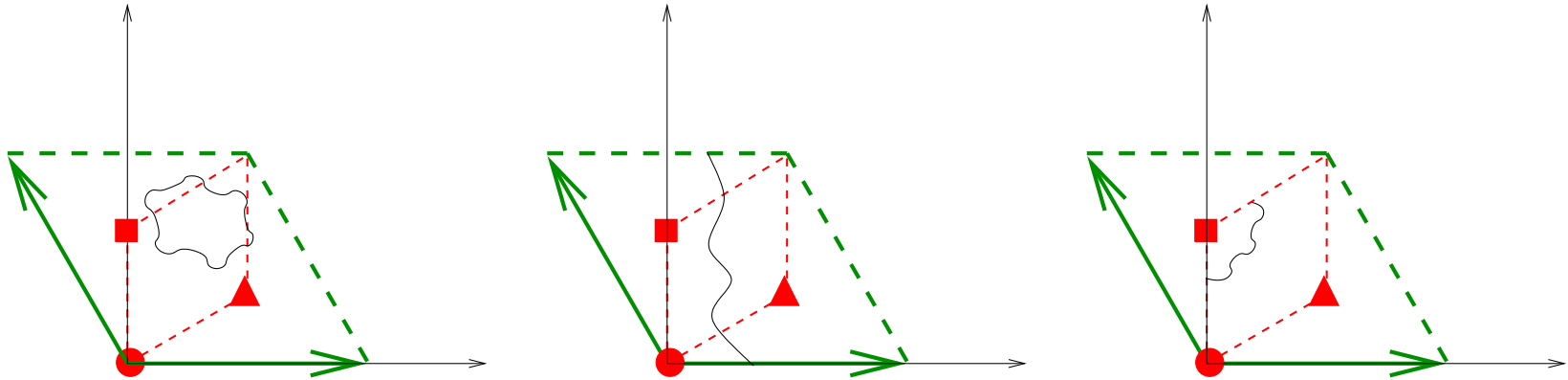
# Brane Modes



# $\mathbb{Z}_3$ Example



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- Action of the space group on coordinates

$$X^i \rightarrow (\theta^k X)^i + n_\alpha e_\alpha^i, \quad k = 0, 1, 2, \quad i, \alpha = 1, \dots, 6$$

- Embed twist in gauge degrees of freedom

$$X^I \rightarrow (\Theta^k X)^I \quad I = 1, \dots, 16$$



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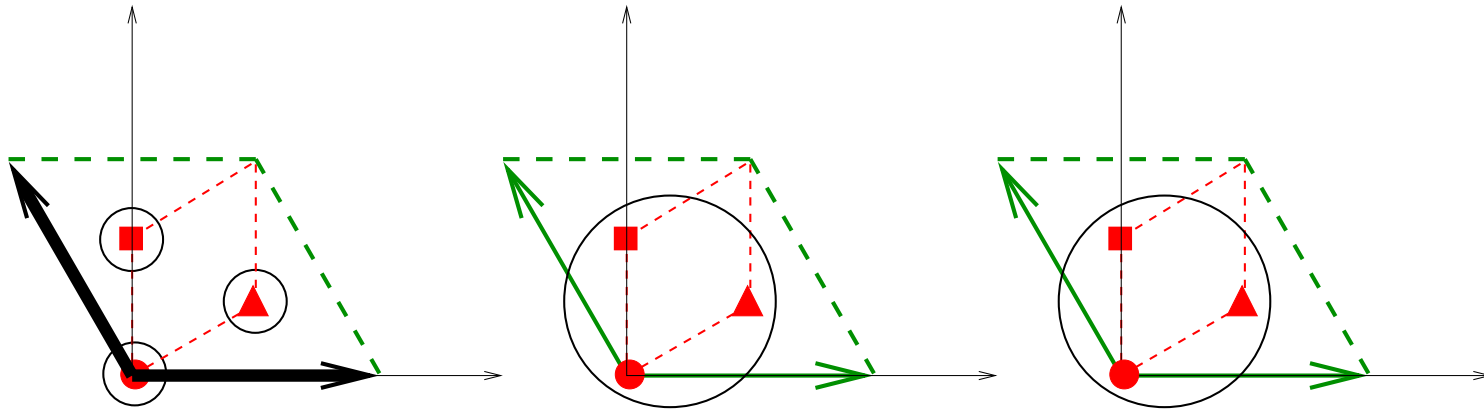
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We need to lift this degeneracy ...

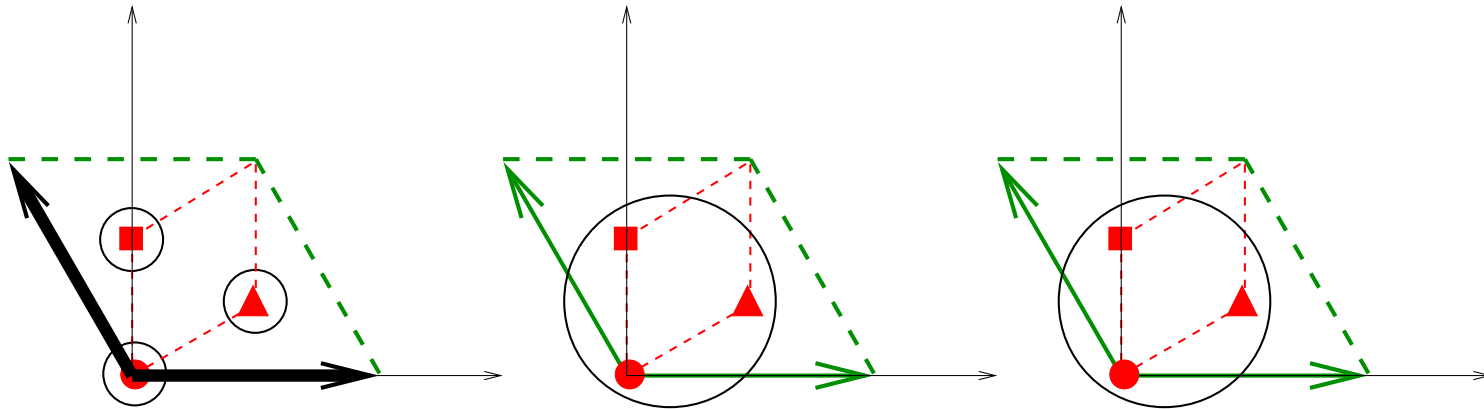
# Orbifolds with Wilson lines



Torus shifts embedded in gauge group as well

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- further gauge symmetry breakdown
- number of generations reduced

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Can we incorporate this into a string theory description?

# Five golden rules

- Family as spinor of  $SO(10)$   
(resulting essentially from exceptional groups)
- Incomplete multiplets
- $N = 1$  supersymmetry in  $d = 4$
- Repetition of families from geometry
- Discrete symmetries of stringy origin

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We need more general constructions to identify  
**remnants of  $SO(10)$**  in string theory .....

# Candidates

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If we insist on the spinor representation of  $SO(10)$  we are essentially

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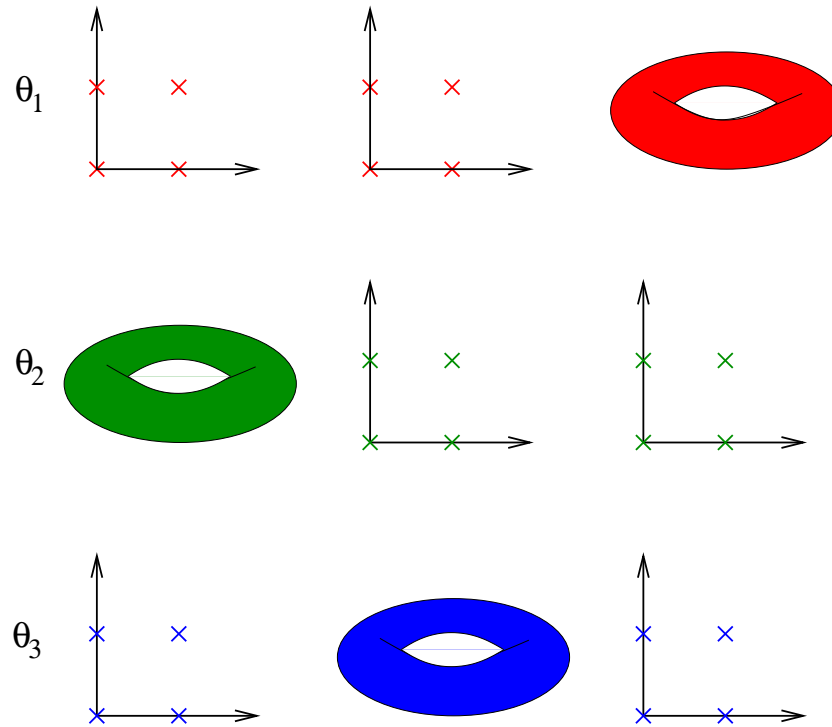
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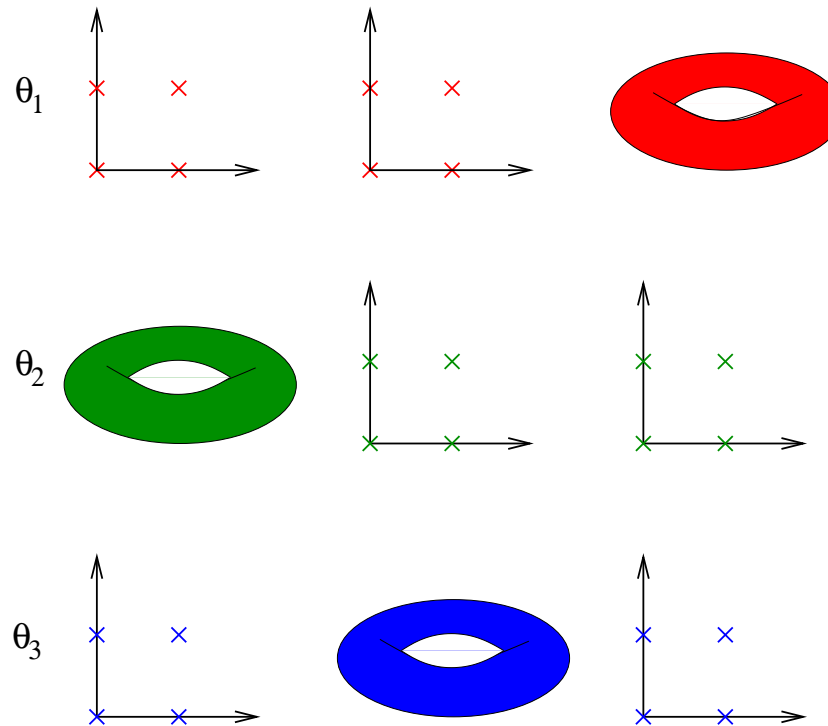
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From this point of view, the  $Z_{2N}$  or  $Z_N \times Z_M$  orbifolds do look more promising

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ Orbifold Example

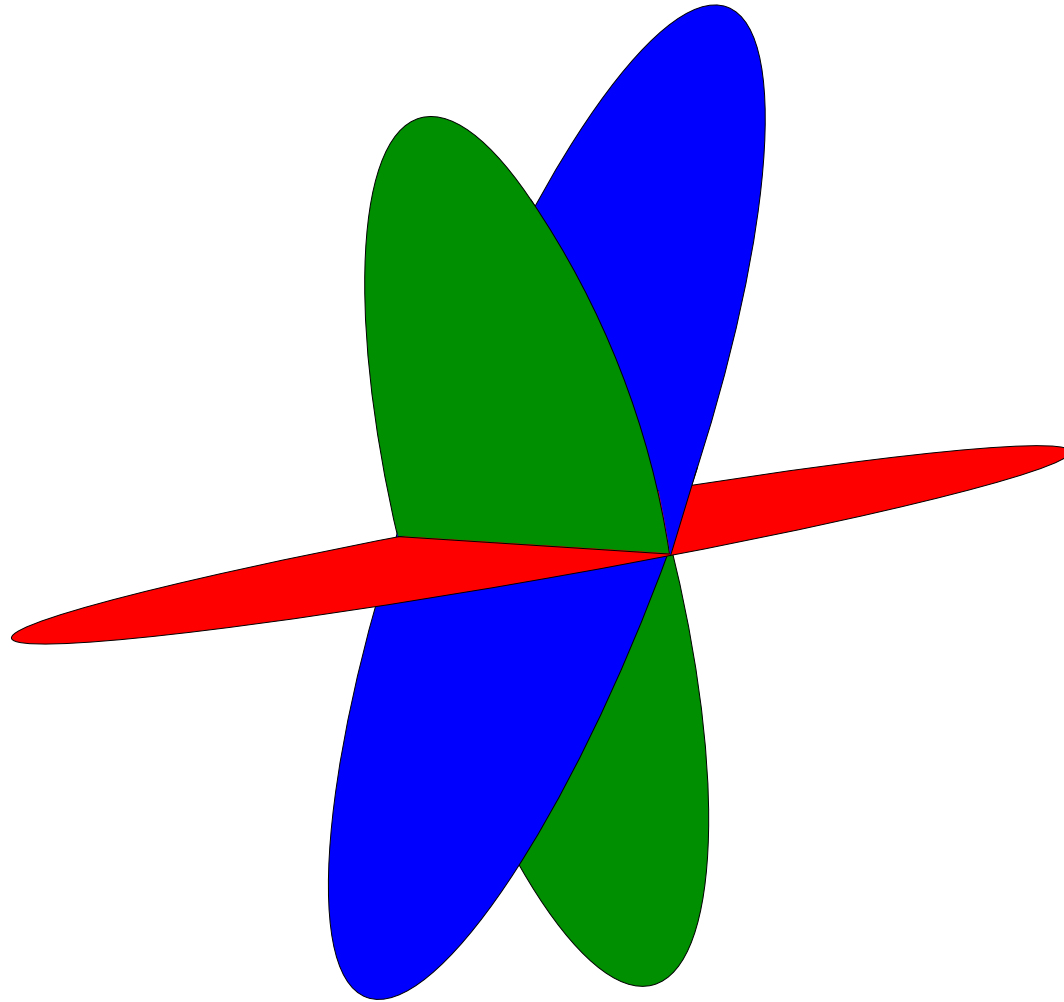


# $\mathbb{Z}_2 \times \mathbb{Z}_2$ Orbifold Example



3 twisted sectors (with 16 fixed tori in each) lead to a geometrical picture of ....

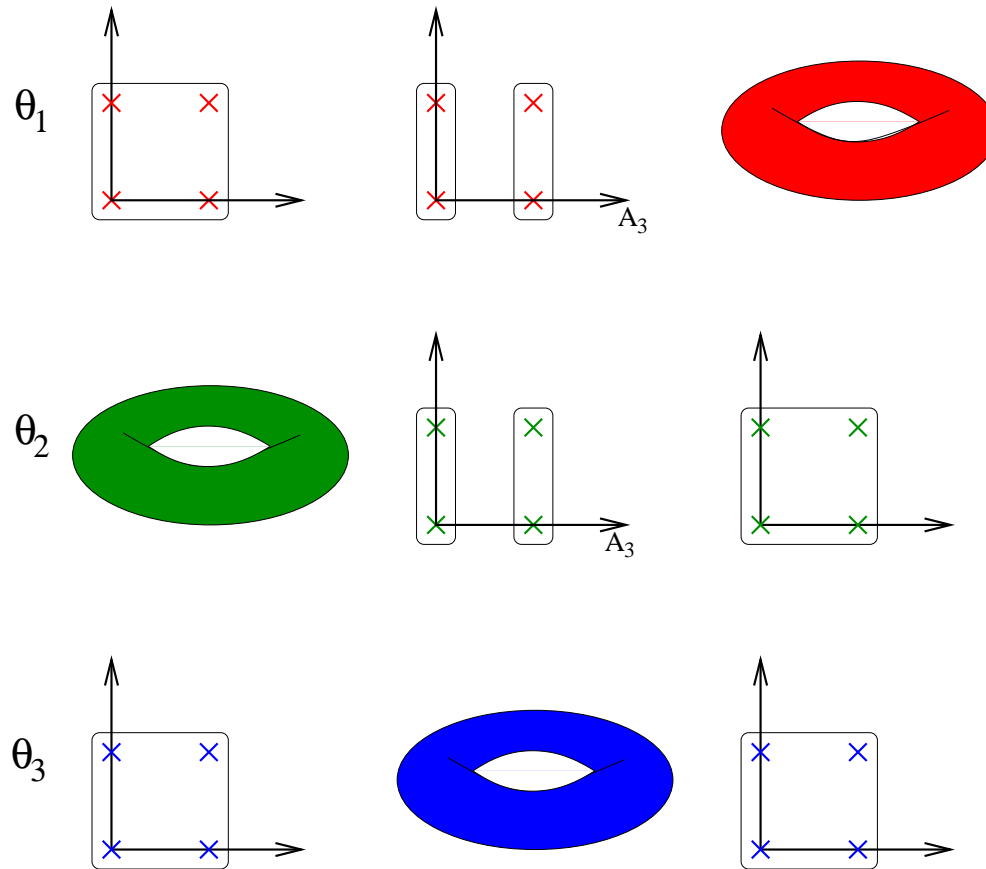
# Intersecting Branes



# $\mathbb{Z}_2 \times \mathbb{Z}_2$ classification

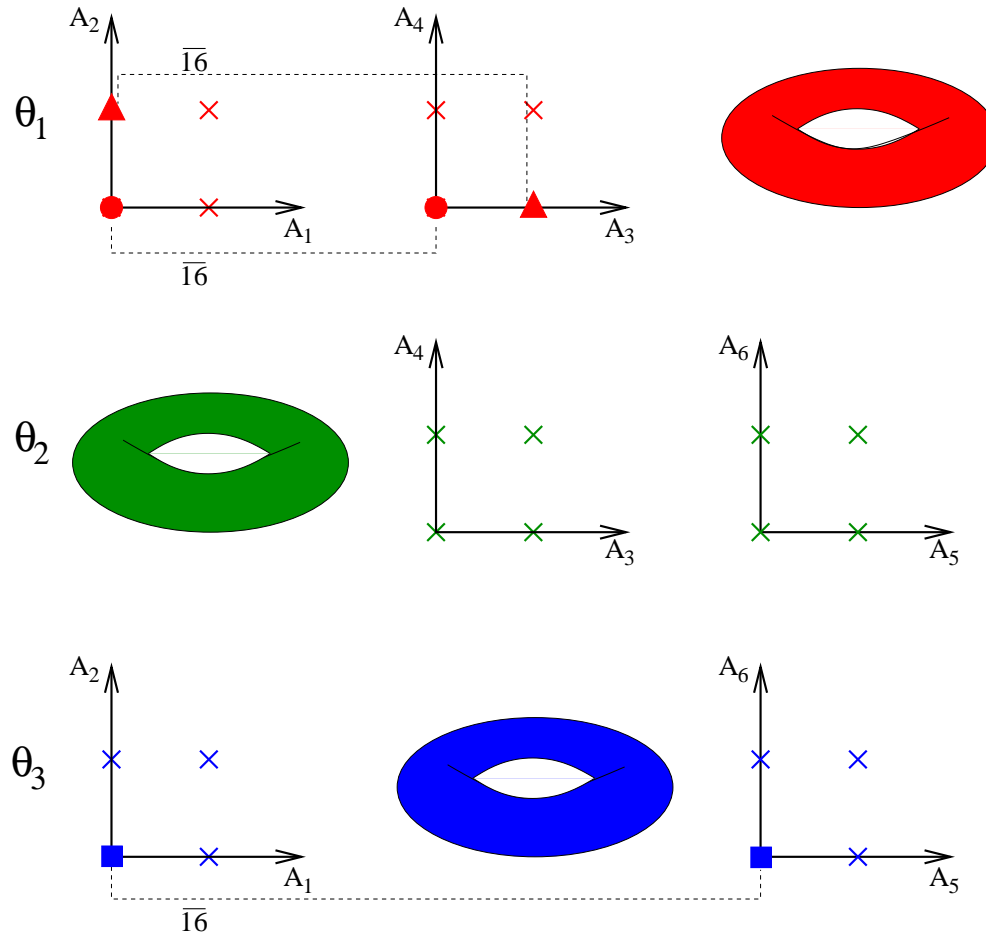
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2	$(\frac{1}{2}, -\frac{1}{2}, 0^6) (0^8)$ $(0, \frac{1}{2}, -\frac{1}{2}, 0^4, 1) (1, 0^7)$	$E_6 \times U(1)^2 \times SO(16)'$	16
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5	$(\frac{1}{2}, -\frac{1}{2}, -1, 0^5) (1, 0^7)$ $(\frac{5}{4}, \frac{1}{4}^7) (\frac{1}{2}, \frac{1}{2}, 0^6)$	$SU(8) \times U(1) \times SO(12)' \times SU(2)'^2$	0

# $\mathbb{Z}_2 \times \mathbb{Z}_2$ with Wilson lines



Again, Wilson lines can lift the degeneracy....

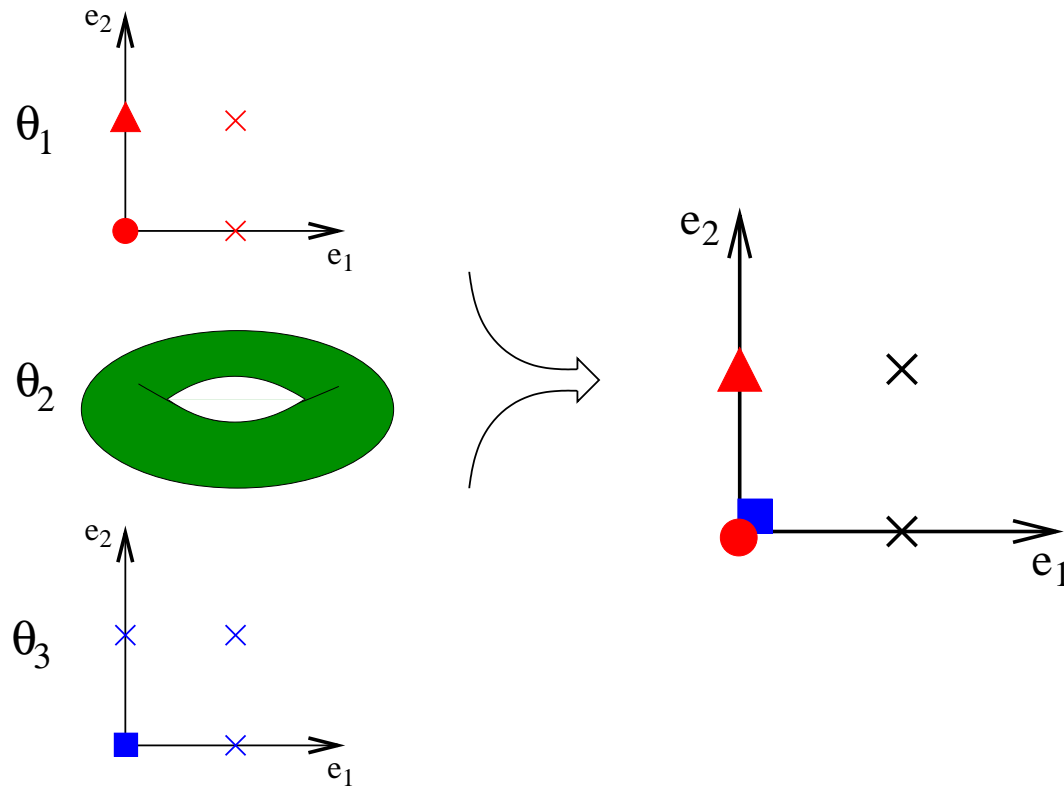
# Three family $SO(10)$ toy model



Localization of families at various fixed tori

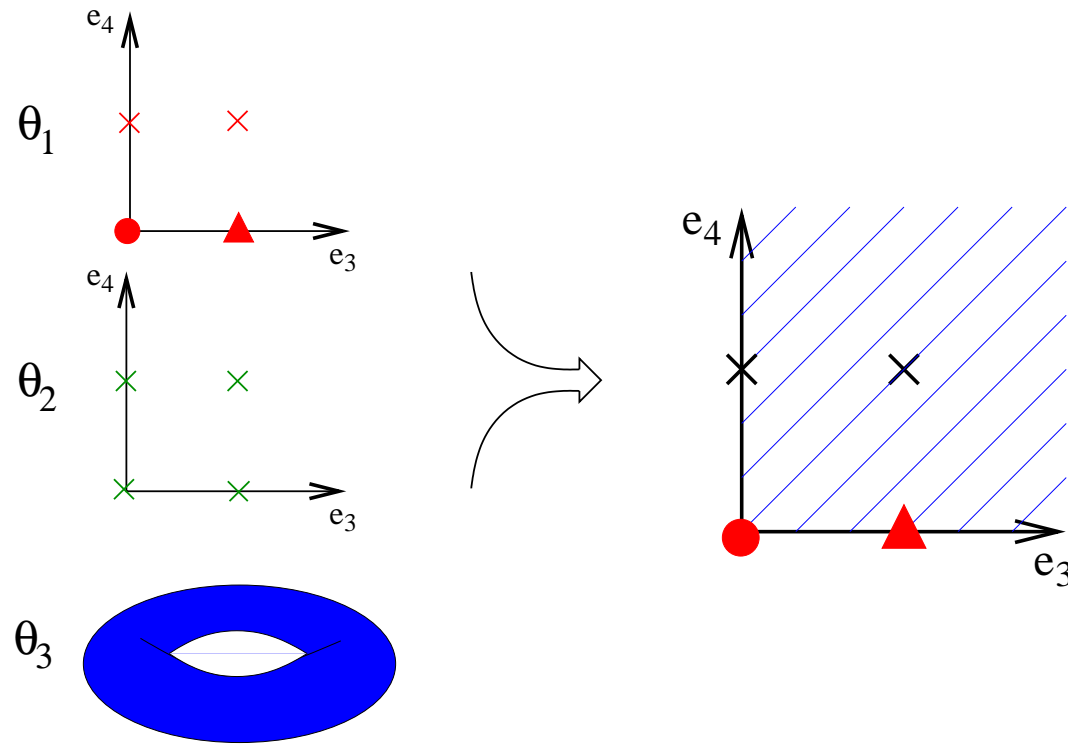


# Zoom on first torus ...



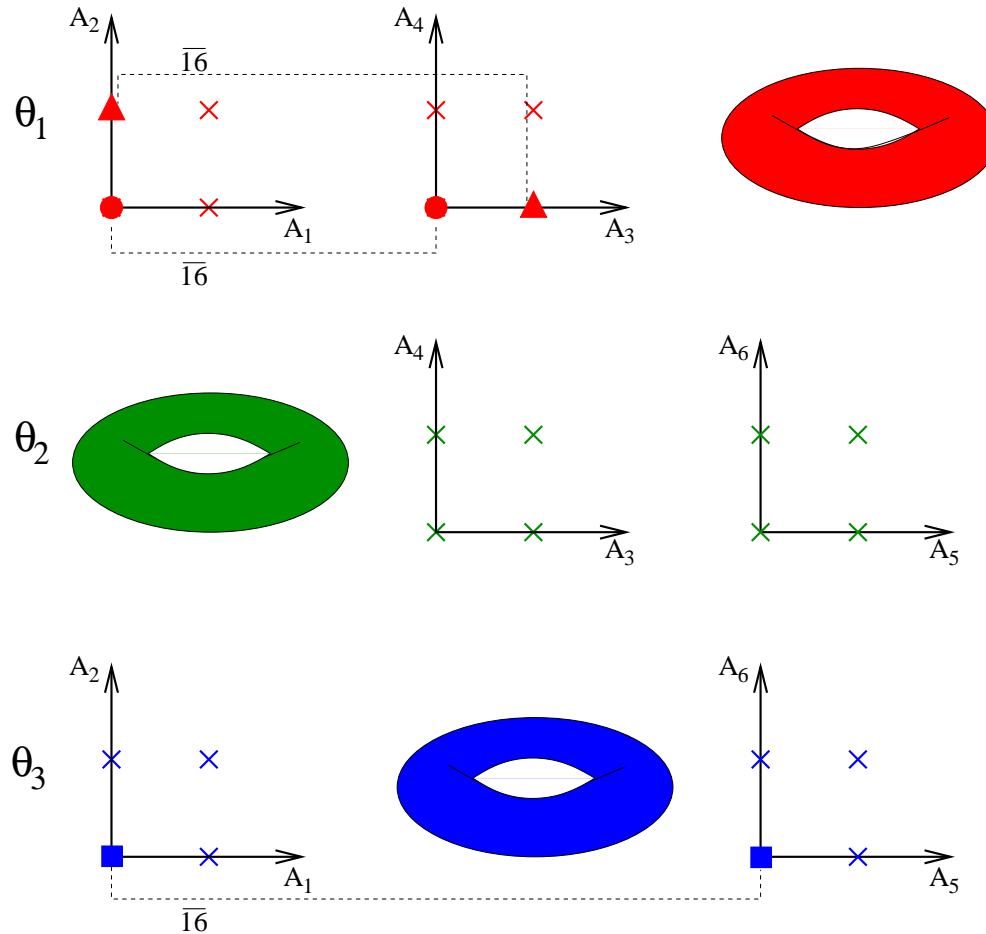
Interpretation as 6-dim. model with 3 families on branes

# second torus ...



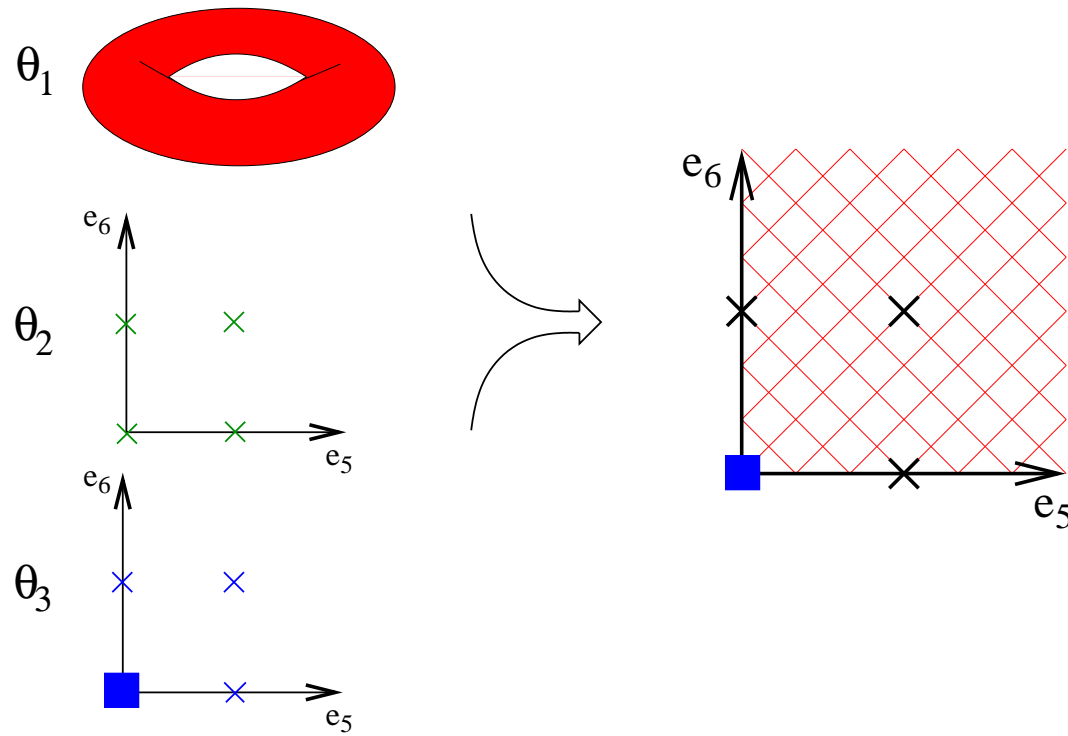
... 2 families on branes, one in (6d) bulk ...

# Three family $SO(10)$ toy model



Localization of families at various fixed tori

# third torus



... 1 family on brane, two in (6d) bulk.

# Geography

Many properties of the models depend on the geography of extra dimensions, such as

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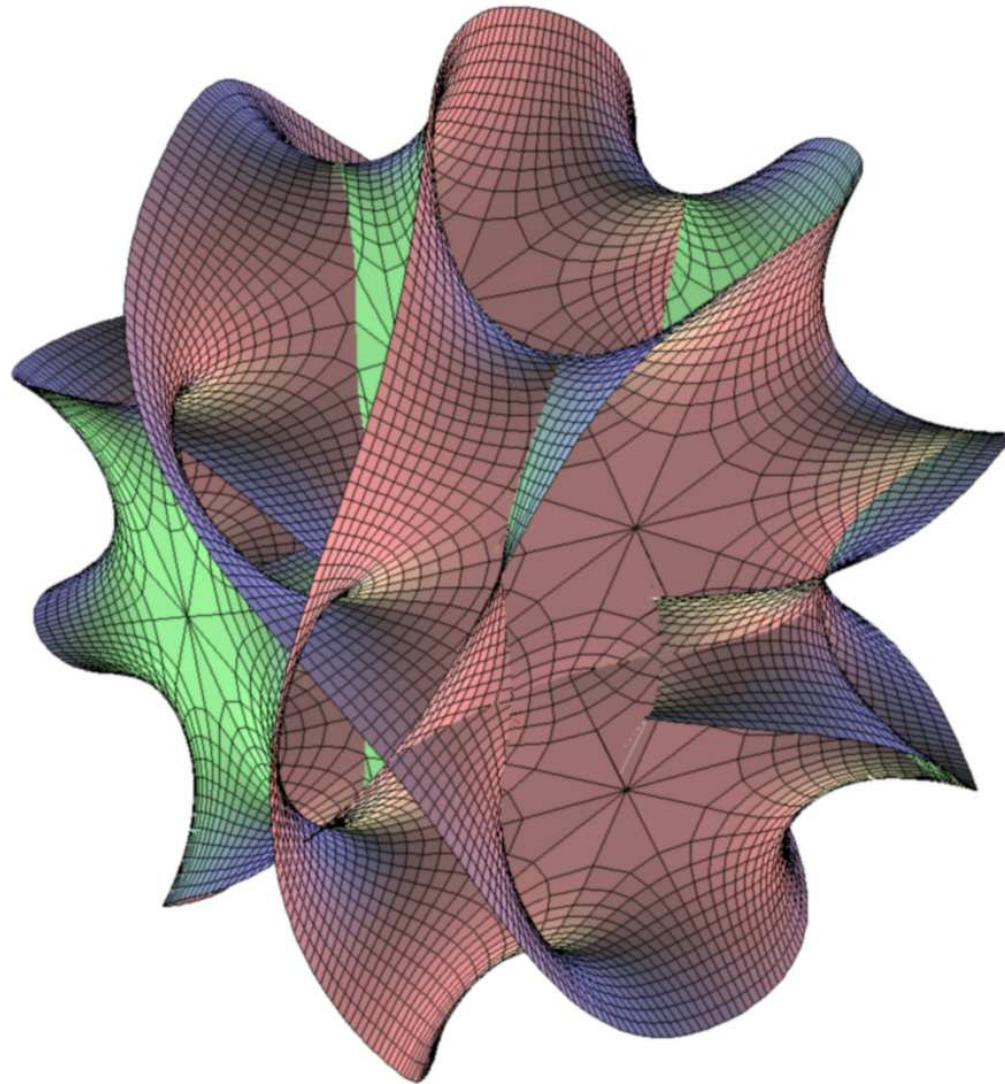
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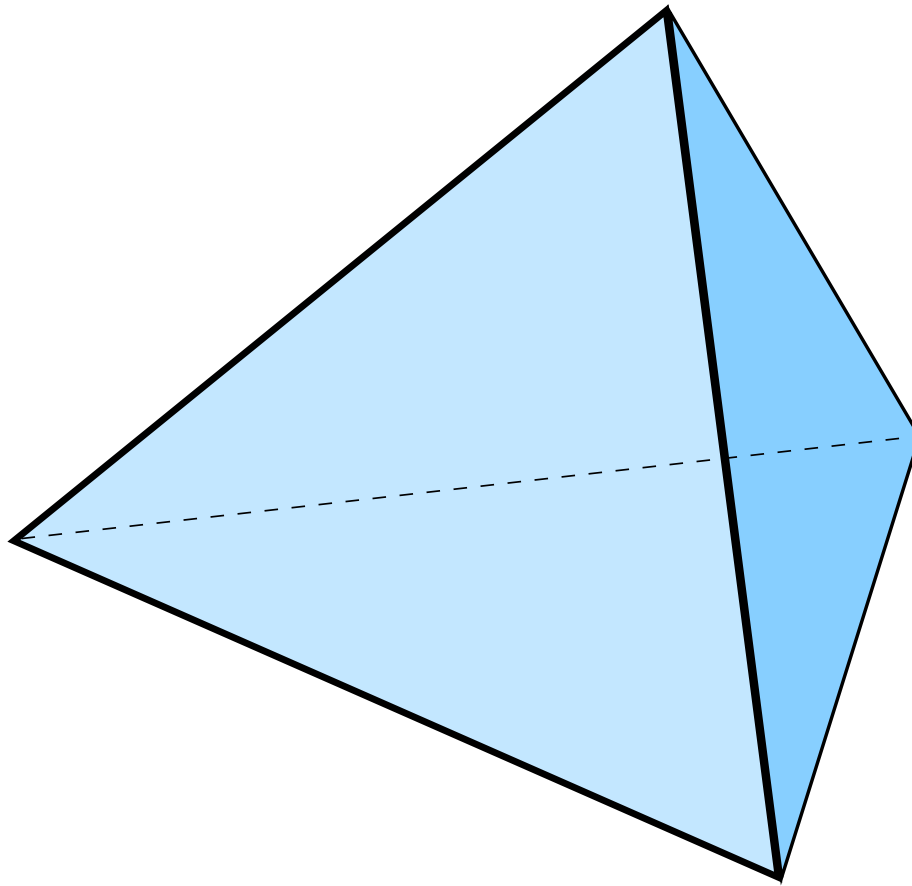
- $E_8 \times E_8$  in the bulk
- smaller gauge groups on various branes

Observed 4-dimensional gauge group is common subgroup of the various localized gauge groups!

# Calabi Yau Manifold



# Orbifold





# Localization

Quarks, Leptons and Higgs fields can be localized:

- in the Bulk ( $d = 10$  **untwisted** sector)
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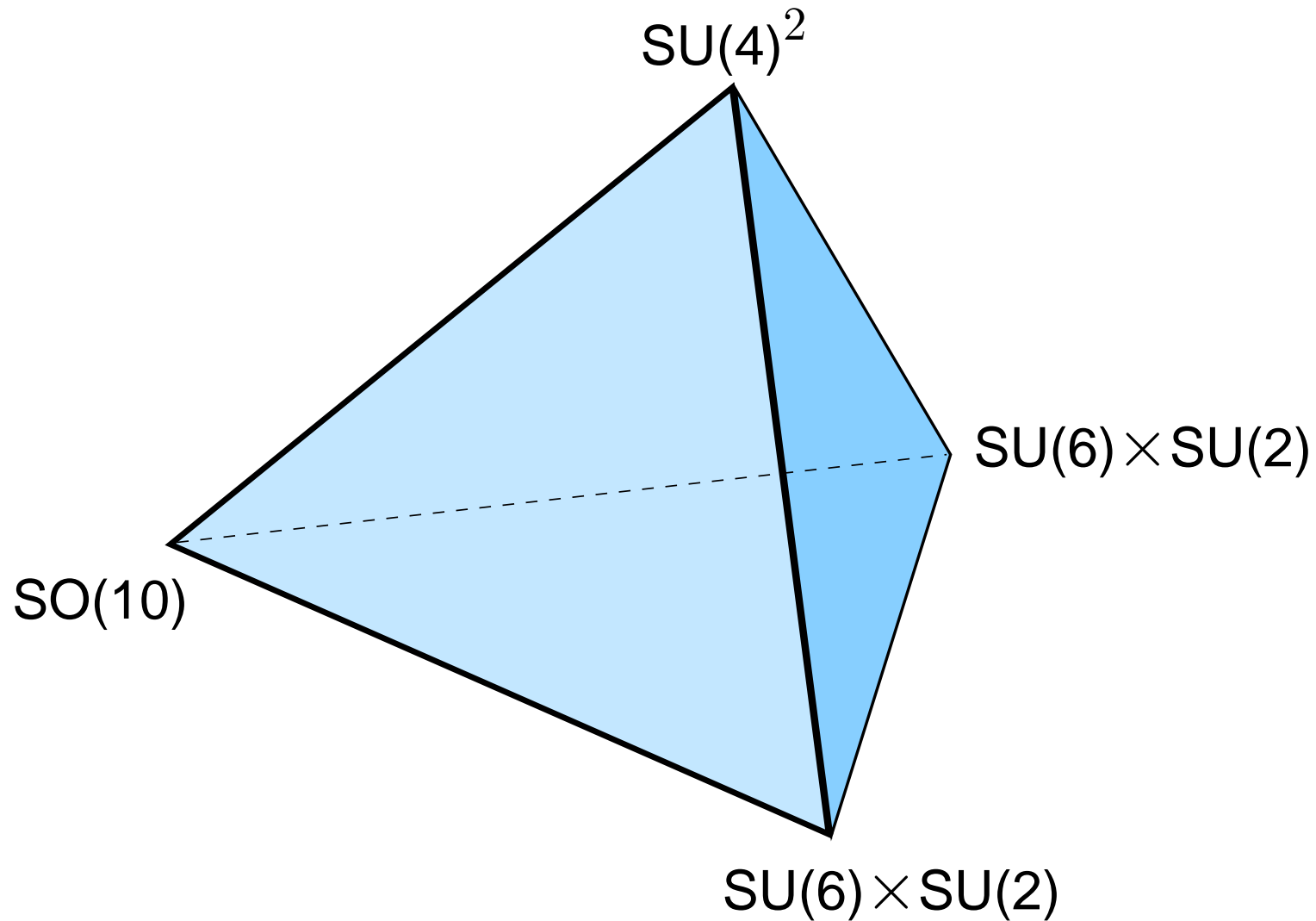
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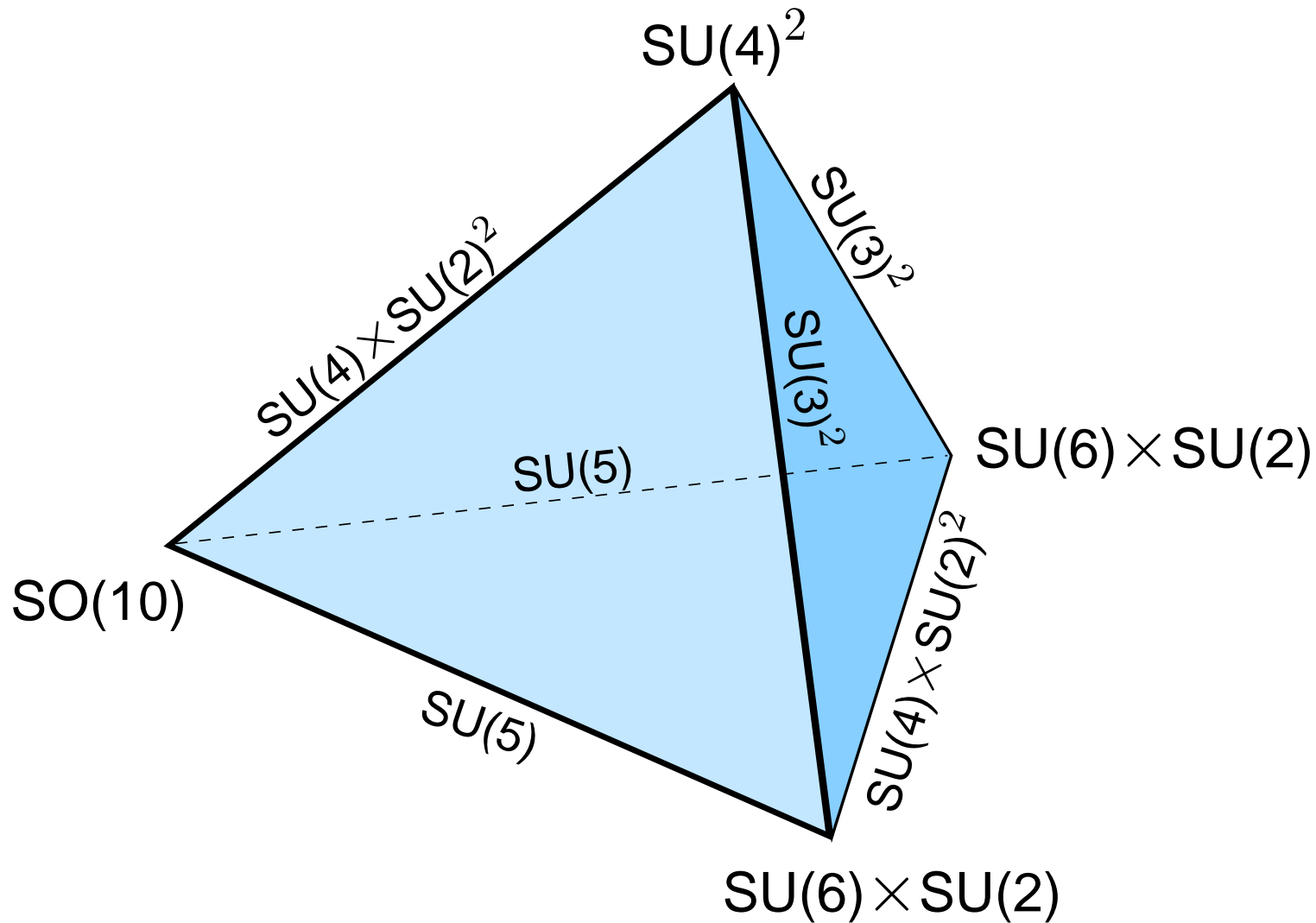
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# Localized gauge symmetries



# Standard Model Gauge Group



# Local Grand Unification

In fact string theory gives us a variant of GUTs

- complete multiplets for fermion families
- split multiplets for gauge- and Higgs-bosons
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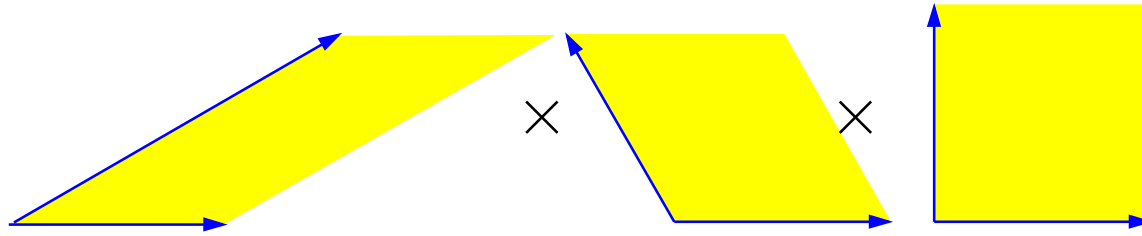
- complete multiplets for fermion families
- split multiplets for gauge- and Higgs-bosons
- partial Yukawa unification

Key properties of the theory depend on the **geography** of the fields in extra dimensions.

This geometrical set-up called **local GUTs**, can be realized in the framework of the “heterotic braneworld”.

(Förste, HPN, Vaudrevange, Wingerter, 2004; Buchmüller, Hamaguchi, Lebedev, Ratz, 2004)

# The “fertile patch”: $Z_6$ II orbifold



(Kobayashi, Raby, Zhang, 2004; Buchmüller, Hamaguchi, Lebedev, Ratz, 2004)

- provides fixed points and fixed tori
- allows  $SO(10)$  gauge group
- allows for **localized 16-plets** for 2 families
- $SO(10)$  broken via Wilson lines
- nontrivial hidden sector gauge group

# Selection Strategy

criterion	$V^{\text{SO}(10),1}$	$V^{\text{SO}(10),2}$
② models with 2 Wilson lines	22,000	7,800
③ SM gauge group $\subset \text{SO}(10)$	3563	1163
④ 3 net families	1170	492
⑤ gauge coupling unification	528	234
⑥ no chiral exotics	128	90

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2006)



# Decoupling of exotics

requires extensive technical work:

- analysis of Yukawa couplings  $S^n E \bar{E}$
- vevs of  $S$  break additional  $U(1)$  symmetries
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## Requirement of D-flatness

- vevs of  $S$  should not break supersymmetry
- anomalous  $U(1)$  and Fayet-Iliopoulos terms
- checking D-flatness with method of gauge invariant monomials

# MSSM candidates

criterion	$V^{\text{SO}(10),1}$	$V^{\text{SO}(10),2}$
SM gauge group $\subset \text{SO}(10)$	3563	1163
3 net $(\mathbf{3}, \mathbf{2})$	1170	492
non-anomalous $U(1)_Y \subset \text{SU}(5)$	528	234
3 generations + vector-like	128	90
exotics decouple	106	85
D-flat solutions	105	85

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

# The road to the MSSM

This scenario leads to

- 200 models with the **exact spectrum of the MSSM** (absence of chiral exotics)

- **local grand unification** (by construction)

- gauge- and (partial) Yukawa unification

(Raby, Wingerter, 2007)

- examples of **neutrino see-saw mechanism**

(Buchmüller, Hamguchi, Lebedev, Ramos-Sanchez, Ratz, 2007)

- models with **R-parity** + solution to the  **$\mu$ -problem**

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

- gaugino condensation and **mirage mediation**

(Löwen, HPN, 2008)

# A Benchmark Model

At the orbifold point the gauge group is

$$SU(3) \times SU(2) \times U(1)^9 \times SU(4) \times SU(2)$$

- one  $U(1)$  is anomalous
- there are singlets and vectorlike exotics
- decoupling of exotics and breakdown of gauge group has been verified
- remaining gauge group

$$SU(3) \times SU(2) \times U(1)_Y \times SU(4)_{\text{hidden}}$$

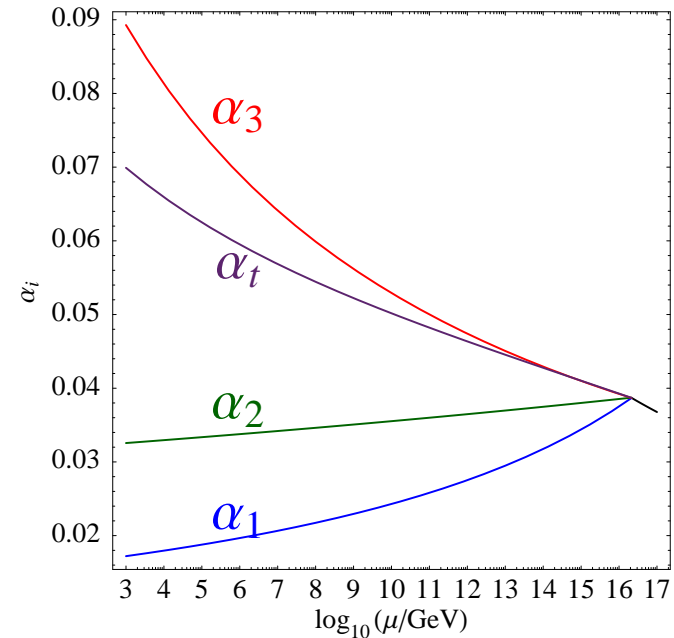
- for discussion of neutrinos and R-parity we keep also the  $U(1)_{B-L}$  charges

# Spectrum

#	irrep	label	#	irrep	label
3	$(\mathbf{3}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/6, 1/3)}$	$q_i$	3	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-2/3, -1/3)}$	$\bar{u}_i$
3	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1, 1)}$	$\bar{e}_i$	8	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(0, *)}$	$m_i$
3 + 1	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, -1/3)}$	$\bar{d}_i$	1	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, 1/3)}$	$d_i$
3 + 1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1/2, -1)}$	$l_i$	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/2, 1)}$	$\bar{l}_i$
1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(-1/2, 0)}$	$h_d$	1	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{1})_{(1/2, 0)}$	$h_u$
6	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/3, 2/3)}$	$\bar{\delta}_i$	6	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/3, -2/3)}$	$\delta_i$
14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/2, *)}$	$s_i^+$	14	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/2, *)}$	$s_i^-$
16	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 1)}$	$\bar{n}_i$	13	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, -1)}$	$n_i$
5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 1)}$	$\bar{\eta}_i$	5	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, -1)}$	$\eta_i$
10	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	$h_i$	2	$(\mathbf{1}, \mathbf{2}; \mathbf{1}, \mathbf{2})_{(0, 0)}$	$y_i$
6	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(0, *)}$	$f_i$	6	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(0, *)}$	$\bar{f}_i$
2	$(\mathbf{1}, \mathbf{1}; \mathbf{4}, \mathbf{1})_{(-1/2, -1)}$	$f_i^-$	2	$(\mathbf{1}, \mathbf{1}; \bar{\mathbf{4}}, \mathbf{1})_{(1/2, 1)}$	$\bar{f}_i^+$
4	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, \pm 2)}$	$\chi_i$	32	$(\mathbf{1}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(0, 0)}$	$s_i^0$
2	$(\bar{\mathbf{3}}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(-1/6, 2/3)}$	$\bar{v}_i$	2	$(\mathbf{3}, \mathbf{1}; \mathbf{1}, \mathbf{1})_{(1/6, -2/3)}$	$v_i$

# Unification

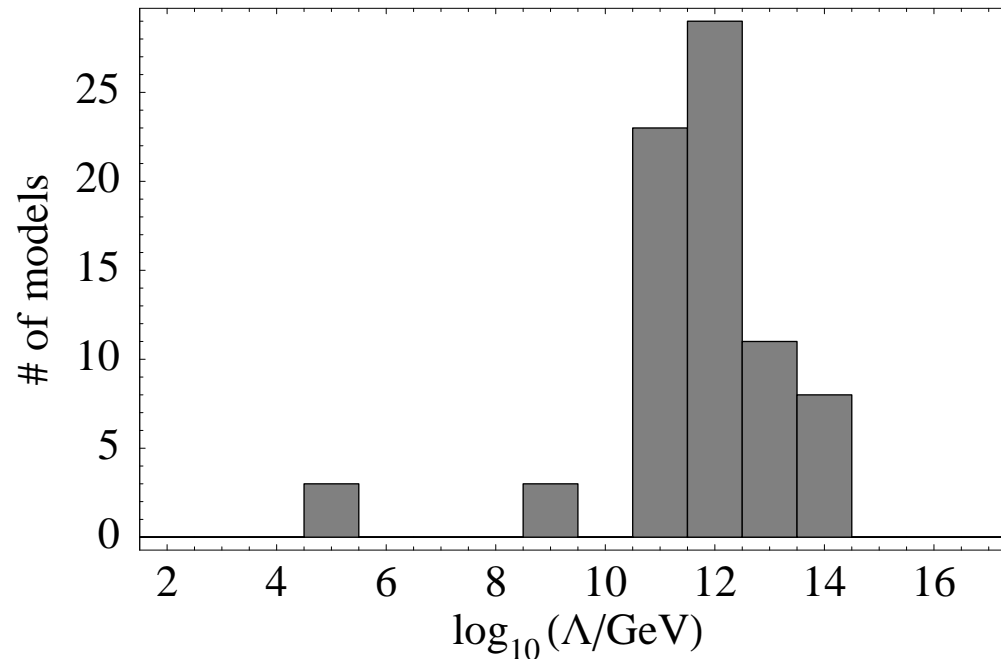
- Higgs doublets are in untwisted (U3) sector
- heavy top quark
- $\mu$ -term protected by a discrete symmetry



- threshold corrections (“on third torus”) allow unification at correct scale around  $10^{16}$  GeV
- natural incorporation of gauge-Yukawa unification

(Faraggi, 1991; Hosteins, Kappl, Ratz, Schmidt-Hoberg, 2009)

# Hidden Sector Susy Breakdown



Gravitino mass  $m_{3/2} = \Lambda^3 / M_{\text{Planck}}^2$  is in the TeV range  
for the hidden sector gauge group  $SU(4)$

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2006)



# See-saw neutrino masses

The see-saw mechanism requires

- right handed neutrinos ( $Y = 0$  and  $B - L = \pm 1$ ),
- heavy Majorana neutrino masses  $M_{\text{Majorana}}$ ,
- Dirac neutrino masses  $M_{\text{Dirac}}$ .

The benchmark model has 49 right handed neutrinos:

- the left handed neutrino mass is  $m_\nu \sim M_{\text{Dirac}}^2 / M_{\text{eff}}$
- with  $M_{\text{eff}} < M_{\text{Majorana}}$  and depends on the number of right handed neutrinos.

(Buchmüller, Hamguchi, Lebedev, Ramos-Sanchez, Ratz, 2007;  
Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

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# R-parity

- R-parity allows the **distinction** between Higgs bosons and sleptons
- $SO(10)$  **contains R-parity** as a discrete subgroup of  $U(1)_{B-L}$ .
- in conventional “**field theory GUTs**” one needs large representations to break  $U(1)_{B-L}$  ( $\geq 126$  dimensional)
- in **heterotic string** models one has more candidates for R-parity (and generalizations thereof)
- one just needs **singlets with an even  $B - L$  charge** that **break  $U(1)_{B-L}$  down to R-parity**

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

# Discrete Symmetries

There are numerous discrete symmetries:

- from geometry
- and stringy selection rules,
- both of abelian and nonabelian nature

(Kobayashi, HPN, Plöger, Raby, Ratz, 2006)

The importance of these discrete symmetries cannot be underestimated. After all, besides the gauge symmetries this is what we get in string theory.

At low energies the discrete symmetries might appear as accidental continuous global  $U(1)$  symmetries.

# Symmetries

String theory gives us

- **gauge** symmetries
- **discrete** global symmetries from geometry and stringy selection rules  
(Kobayashi, HPN, Plöger, Raby, Ratz, 2006)
- **accidental global**  $U(1)$  symmetries in the low energy effective action  
(Choi, Kim, Kim, 2006; Choi, HPN, Ramos-Sanchez, Vaudrevange, 2008)

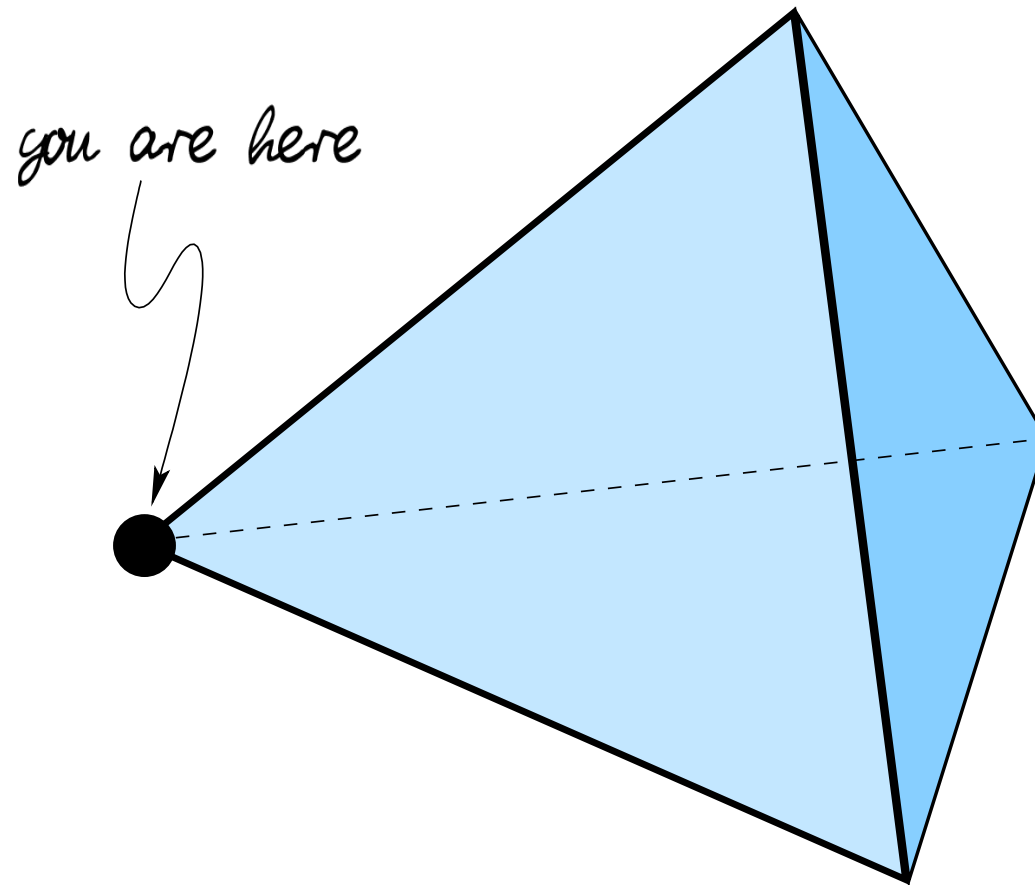
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We might live close to a fixed point with enhanced symmetries that explain small parameters in the low energy effective theory.

# Location matters



# Symmetries

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We might live close to a fixed point with enhanced symmetries that explain small parameters in the low energy effective theory.

These symmetries can be trusted as we are working within a consistent theory of gravity.



# Accidental Symmetries

Applications of discrete and accidental global symmetries:

- (nonabelian) family symmetries (and FCNC)

(Ko, Kobayashi, Park, Raby, 2007)

- Yukawa textures (via Frogatt-Nielsen mechanism)

- a solution to the  $\mu$ -problem

(Lebedev, HPN, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter, 2007)

- creation of hierarchies

(Kappl, HPN, Ramos-Sanchez, Ratz, Schmidt-Hoberg, Vaudrevange, 2008)

- proton stability via “Proton Hexality”

(Dreiner, Luhn, Thormeier, 2005; Förste, HPN, Ramos-Sanchez, Vaudrevange, 2009)

- approximate global  $U(1)$  for a QCD action

(Choi, Kim, Kim, 2006; Choi, HPN, Ramos-Sanchez, Vaudrevange, 2008)

# The $\mu$ problem

In general we have to worry about

- doublet-triplet splitting
- mass term for additional doublets
- the appearance of “naturally” light doublets

In the benchmark model we have

- only 2 doublets
- which are neutral under all selection rules
- if  $M(s_i)$  allowed in superpotential
- then  $M(s_i)H_uH_d$  is allowed as well

# The $\mu$ problem II

We have verified that (up to order 6 in the singlets)

- $F_i = 0$  implies automatically
- $M(s_i) = 0$  for all allowed terms  $M(s_i)$  in the superpotential  $W$

Therefore

- $W = 0$  in the supersymmetric (Minkowski) vacuum
- as well as  $\mu = \partial^2 W / \partial H_u \partial H_d = 0$ , while all the vectorlike exotics decouple
- with broken supersymmetry  $\mu \sim m_{3/2} \sim \langle W \rangle$

This solves the  $\mu$ -problem

(Casas, Munoz, 1993)

# The creation of the hierarchy

Is there an explanation for a vanishing  $\mu$ ?

- string miracle?
- underlying symmetry?

Consider a superpotential

$$W = \sum c_{n_1 \dots n_M} \phi_1^{n_1} \dots \phi_M^{n_M} .$$

with an exact R-symmetry

$$W \rightarrow e^{2i\alpha} W , \quad \phi_j \rightarrow \phi'_j = e^{i r_j \alpha} \phi_j$$

where each monomial in  $W$  has total R-charge 2.

# ...hierarchy continued...

Consider a field configuration  $\langle \phi_i \rangle$  with

$$F_i = \frac{\partial W}{\partial \phi_i} = 0 \quad \text{at } \phi_j = \langle \phi_j \rangle \quad \forall i, j .$$

Under an infinitesimal  $U(1)_R$  transformation, the superpotential transforms nontrivially

$$W(\phi_j) \rightarrow W(\phi'_j) = W(\phi_j) + \sum_i \frac{\partial W}{\partial \phi_i} \Delta \phi_i .$$

This proves that, if the  $F = 0$  equations are satisfied,  $W$  vanishes at the minimum (as a consequence of a continuous R-symmetry)

# Continuous R-symmetry

Thus for a continuous R-symmetry we would have

- a supersymmetric ground state with  $W = 0$  and  $U(1)_R$  spontaneously broken
- a problematic R-Goldstone-Boson

However, the above R-symmetry appears as an accidental continuous symmetry resulting from an exact discrete symmetry of (high) order  $N$

- Goldstone-Boson massive and harmless
- a nontrivial VEV of  $W$  of higher order in  $\phi$

(Kappl, HPN, Ramos-Sanchez, Ratz, Schmidt-Hoberg, Vaudrevange, 2008)

# Hierarchy

Such accidental symmetries lead to

- creation of a **small constant in the superpotential**
- explanation of a **small  $\mu$  term**

(Kappl, HPN, Ramos-Sanchez, Ratz, Schmidt-Hoberg, Vaudrevange, 2008)

Even with a moderate hierarchy like  $\phi/M_P \sim 10^{-2}$  one can generate small values for  $\mu$  and  $\langle W \rangle$  and thus a hierarchically small **TeV-scale for the gravitino mass**

$$m_{3/2} \sim W_{\text{eff}} = c + A e^{-a S}$$

in the framework of a **modulus or mirage mediation scheme** of supersymmetry breakdown.

(Löwen, HPN, 2008)

# The Higgs-mechanism in string theory...

...can be achieved via **continuous** Wilson lines. The aim is:

- **electroweak symmetry breakdown**
- breakdown of **Trinification** or **Pati-Salam** group to the Standard Model gauge group
- **rank reduction**

Continuous Wilson lines require specific embeddings of twist in the gauge group

(Ibanez, HPN, Quevedo, 1987)

- difficult to implement in the  $Z_3$  case
- more promising for  $Z_2$  twists



# An example

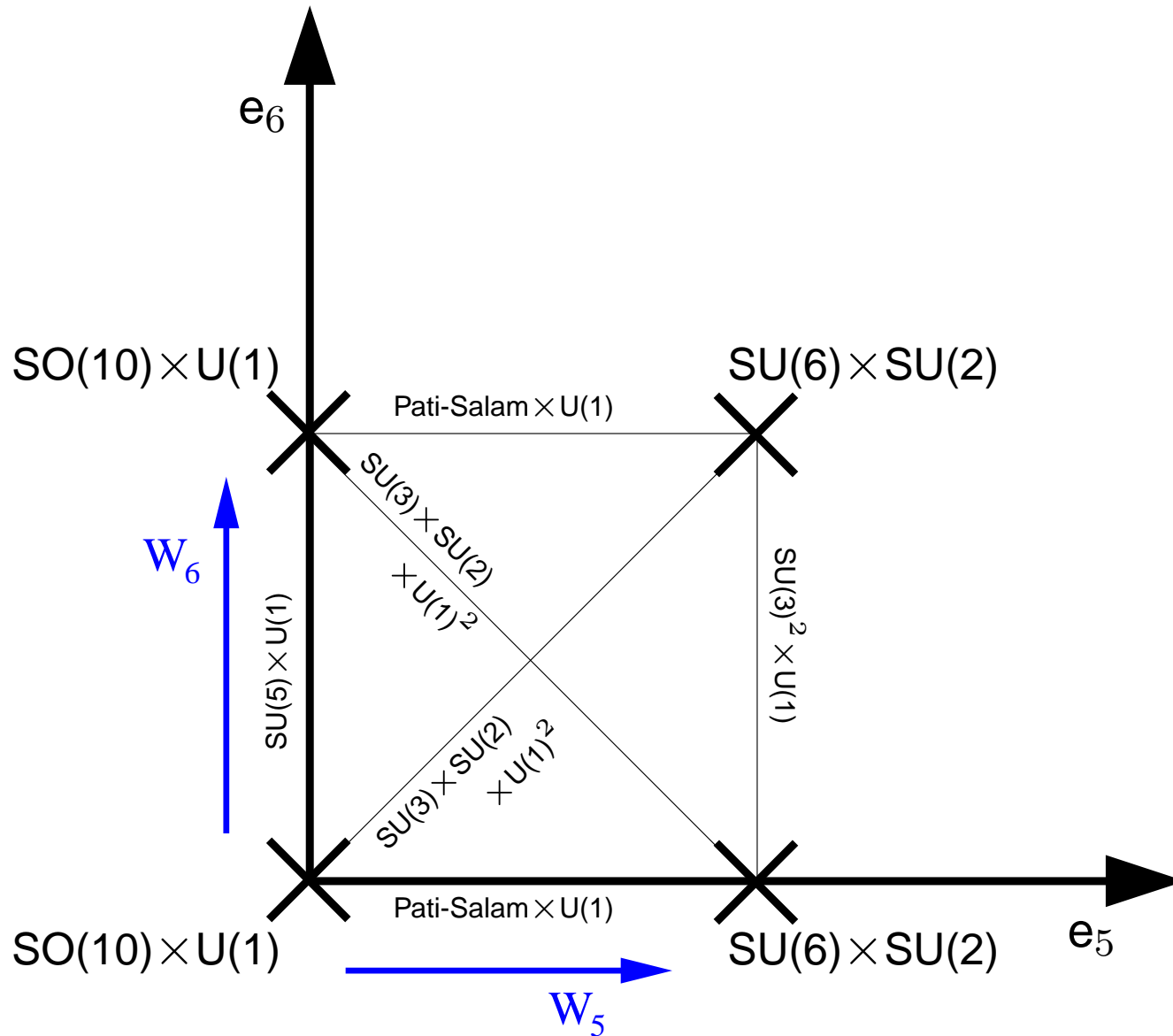
We consider a model that has  $E_6$  gauge group in the bulk of a “6d orbifold”. The breakdown pattern is

- $E_6 \rightarrow SO(10)$  via a  $Z_2$  twist
- $SO(10) \rightarrow SU(4) \times SU(2) \times SU(2) \times U(1)$  via a discrete (quantized) Wilson line
- $SU(4) \times SU(2) \times SU(2) \rightarrow SU(3) \times SU(2) \times U(1)$  via a continuous Wilson line (Förste, HPN, Wingerter, 2005)

Such 6d models can be embedded in 10d string theory orbifolds. Models with consistent electroweak symmetry breakdown have been constructed.

(Förste, HPN, Wingerter, 2006)

# Pati-Salam breakdown



# Accions

Absence of continuous global  $U(1)$  symmetries in string theory leads to a question towards the

- axion as a solution to the strong CP-problem

A gauge anomalous  $U(1)$  symmetry might help, but there we expect

- a too large axion decay constant of order of string scale

Again additional accidental global  $U(1)$  symmetries arising as a consequence of discrete symmetries might help,

(Choi, Kim, Kim, 2007; Choi, HPN, Ramos-Sanchez, Vaudrevange, 2009)

but we need to control the axion scale  $F_a$ .

# Multi-Axion Systems

Consider a system with **two  $U(1)$  symmetries**:  $U(1)_P \times U(1)_Q$  and suppose that they are broken spontaneously.

$$F_{a_1} = -v_1 \frac{q_P^1 q_Q^2 - q_Q^1 q_P^2}{q_f^2}, \quad F_{a_2} = v_2 \frac{q_P^1 q_Q^2 - q_Q^1 q_P^2}{q_f^1}.$$

The relevant **accion decay constant** will then be

$$F_a = \left( \left( \frac{1}{F_{a_1}} \right)^2 + \left( \frac{1}{F_{a_2}} \right)^2 \right)^{-1/2} = \frac{v_1 v_2 (q_P^1 q_Q^2 - q_Q^1 q_P^2)}{\sqrt{(q_f^1 v_1)^2 + (q_f^2 v_2)^2}}.$$

**and it is dominated by the smallest VEV!**

# The Accion Program

- find a model with an **accidental** (colour)-anomalous  $U(1)^*$
- identify a vacuum configuration where the VEVs driven by the Fayet-Iliopoulos term **do not break**  $U(1)^*$
- search for a vacuum configuration where  $U(1)^*$  is broken by a **VEV in the axion window** (some other gauge  $U(1)$ 's might be broken here as well)
- check that higher order non-renormalizable terms that break  $U(1)^*$  explicitly are **sufficiently suppressed to avoid a too “large” axion mass.**

(Choi, HPN, Ramos-Sanchez, Vaudrevange, 2009)

can be accomodated in the Heterotic Brane World.

# Proton stability

In the standard model Baryon number  $U(1)_B$  is not a good symmetry

- Baryon and lepton number are anomalous
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Baryon number violation is needed for baryogenesis.

- Grand unification addresses these questions
- proton decay via dimension-6 operators
- GUT scale has to be sufficiently high

# GUTs need SUSY

Grand unification most natural in the framework of SUSY

- evolution of gauge couplings
- GUT scale is pushed to higher value



# GUTs need SUSY

Grand unification most natural in the framework of SUSY

- evolution of gauge couplings
- GUT scale is pushed to higher value

But there is a problem

- dimension-4 and -5 operators
- more symmetries needed
- matter parity (or R-parity)
- baryon triality, proton hexality

(Ibanez, Ross, 1991; Dreiner, Luhn, Thormeier, 2005)

# The fate of global symmetries

Global symmetries are very useful for

- absence of FCNC (solve **flavour problem**)
- **Yukawa textures** à la Frogatt-Nielsen
- solutions to the  **$\mu$  problem**
- axions and the **strong CP-problem**
- proton stability

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- solutions to the  **$\mu$  problem**
- axions and the **strong CP-problem**
- proton stability

But they might be destroyed by gravitational effects:

- **we need a UV-completion of the theory**
- **with a consistent incorporation of gravity**

# String theory as UV-completion

What do we get from string theory?

- supersymmetry
- extra spatial dimensions
- (large unified) gauge groups
- consistent theory of gravity
- many discrete symmetries
- no global continuous symmetries

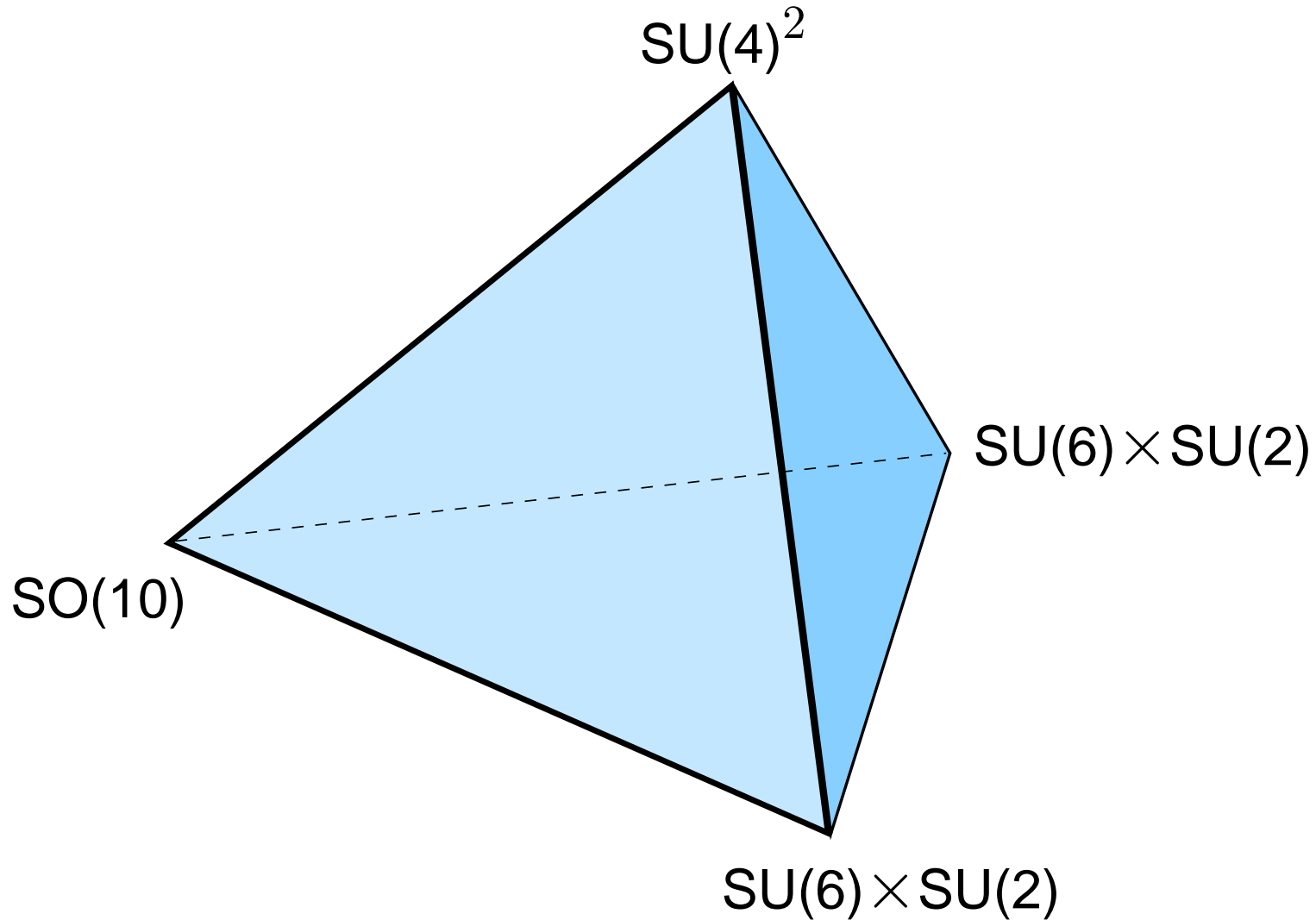
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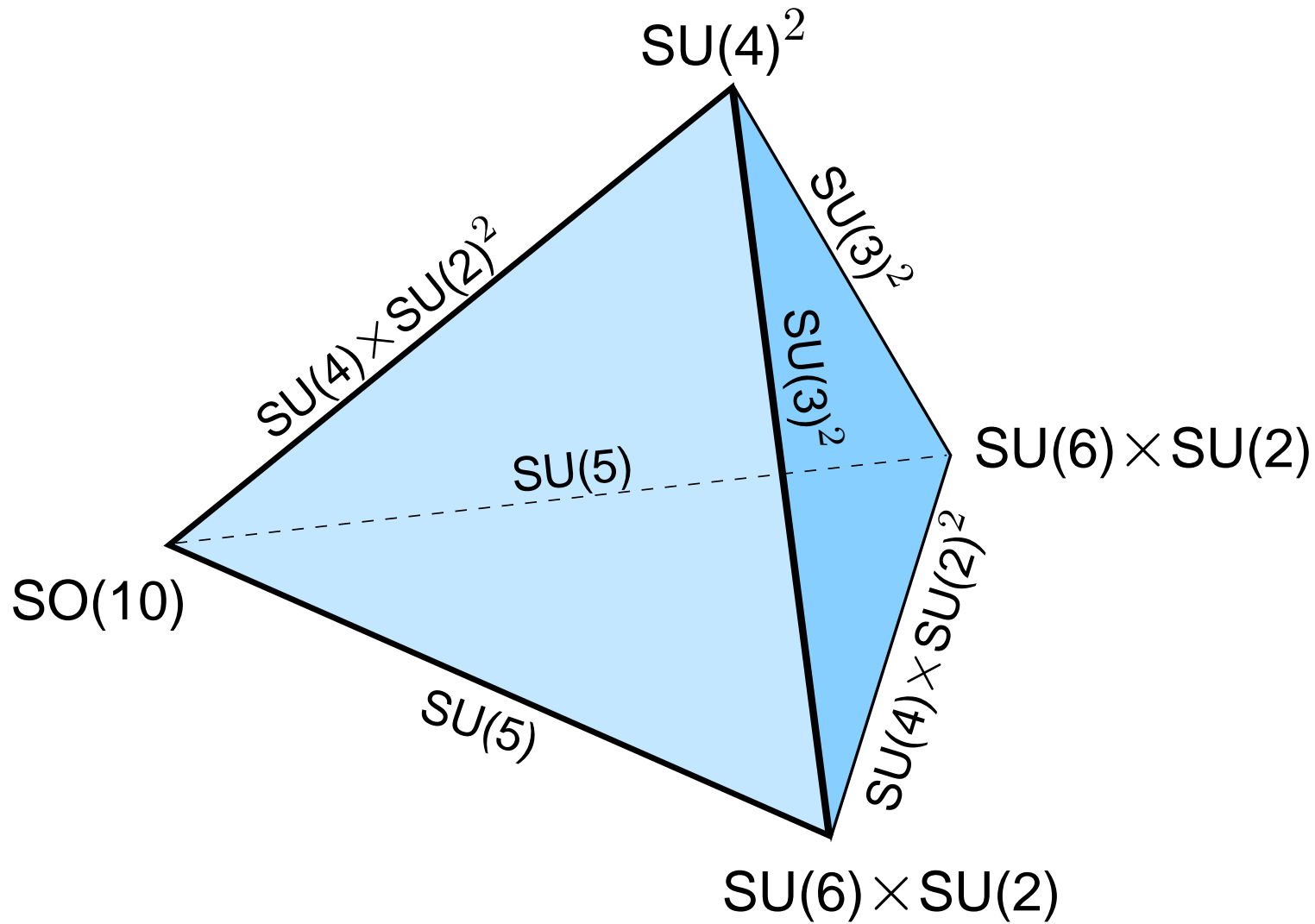
- supersymmetry
- extra spatial dimensions
- (large unified) gauge groups
- consistent theory of gravity
- many discrete symmetries
- no global continuous symmetries

String theory serves as a UV-completion with a consistent incorporation of gravity, and thus provides exact global symmetries.

# Localized gauge symmetries



# Standard Model Gauge Group



# MSSM

The **minimal particle content** of the susy extension of the standard model includes chiral superfields

- $Q, \bar{U}, \bar{D}$  for quarks and partners
- $L, \bar{E}$  for leptons and partners
- $H_d, H_u$  Higgs supermultiplets



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with superpotential

$$W = QH_d\bar{D} + QH_u\bar{U} + LH_d\bar{E} + \mu H_u H_d.$$

Also allowed (but problematic) are dimension-4 operators

$$\bar{U}\bar{D}\bar{D} + QL\bar{D} + LL\bar{E}.$$

# The question of proton stability

These dimension-4 operators could be forbidden by some symmetry

- like matter parity (or R-parity)
- stable LSP for dark matter

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- stable LSP for dark matter

But there are in addition dimension-5 operators that might mediate too fast proton decay

$$QQQL + \bar{U}\bar{U}\bar{D}\bar{E}$$

and we might need alternative symmetries like **baryon triality or proton hexality.**

(Ibanez, Ross, 1991; Dreiner, Luhn, Thormeier, 2005)

# Proton Hexality

	$Q$	$\bar{U}$	$\bar{D}$	$L$	$\bar{E}$	$H_u$	$H_d$	$\bar{\nu}$
$6 Y$	1	-4	2	-3	6	3	-3	0
$\mathbb{Z}_2^{\text{matter}}$	1	1	1	1	1	0	0	1
$B_3$	0	-1	1	-1	2	1	-1	0
$P_6$	0	1	-1	-2	1	-1	1	3

Proton hexality is exactly what we need:

- dangerous dimension 4 and 5 operators forbidden
- neutrino Majorana masses allowed ( $LLH_uH_u$ )

# GUTs and Hexality

Combination of GUTs and proton hexality is perfect

But GUTs and Hexality are incompatible

(Luhn, Thormeier, 2007)

Excluded are basically all GUTs

- $SU(4) \times SU(2) \times SU(2)$
- $SU(5)$  even when flipped
- $SO(10)$

**Example:**

the 10-dimensional representation of  $SU(5)$  includes  $\bar{U}$ ,  $Q$  and  $\bar{E}$  and they cannot all have the same charge under hexality.

# Bottom up approach

Are there ways out? We could try to enhance the gauge group and get  $P_6$  from an additional  $U(1)_X$  as e.g.

- $SU(4) \times SU(2)_L \times SU(2)_R \times U(1)_X$
- broken to  $SU(3) \times SU(2)_L \times U(1) \times Z_{12}$
- where  $Z_{12}$  acts a  $P_6$  on the standard model sector

But this is not really a grand unified theory. Closer to GUTs might be

- $SO(10) \times U(1)_X$  broken to
- $SU(4) \times SU(2)_L \times SU(2)_R \times P_6$
- with  $(4, 2, 1)_1$  and  $(\bar{4}, 1, 2)_{-1}$

# Split multiplets

In fact we could consider

$$SO(12) \rightarrow SO(10) \times U(1)_X \rightarrow SU(3) \times SU(2)_L \times U(1) \times P_6$$

This would mean that  $P_6$  is a subgroup of  $SO(12)$   
(in the same way as matter parity is a subgroup of  $SO(10)$ )

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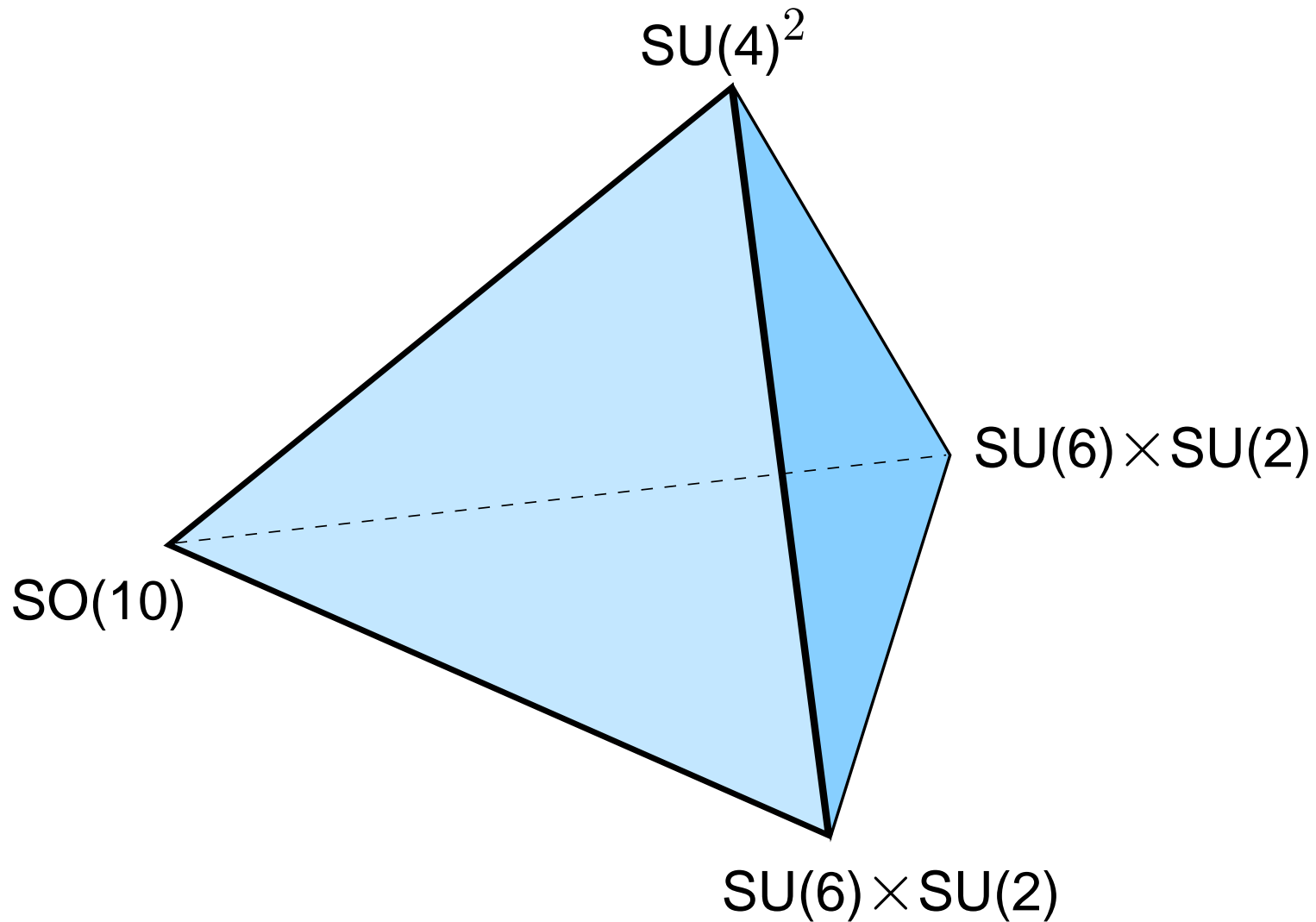
Consequences:

- we need representations of (ridiculously) high dimensionality to break  $SO(12)$  (analogue of 126 of  $SO(10)$  for matter parity)
- appearance of split multiplets

This is exactly what we get in the framework of **local grand unification** in the braneworld picture.

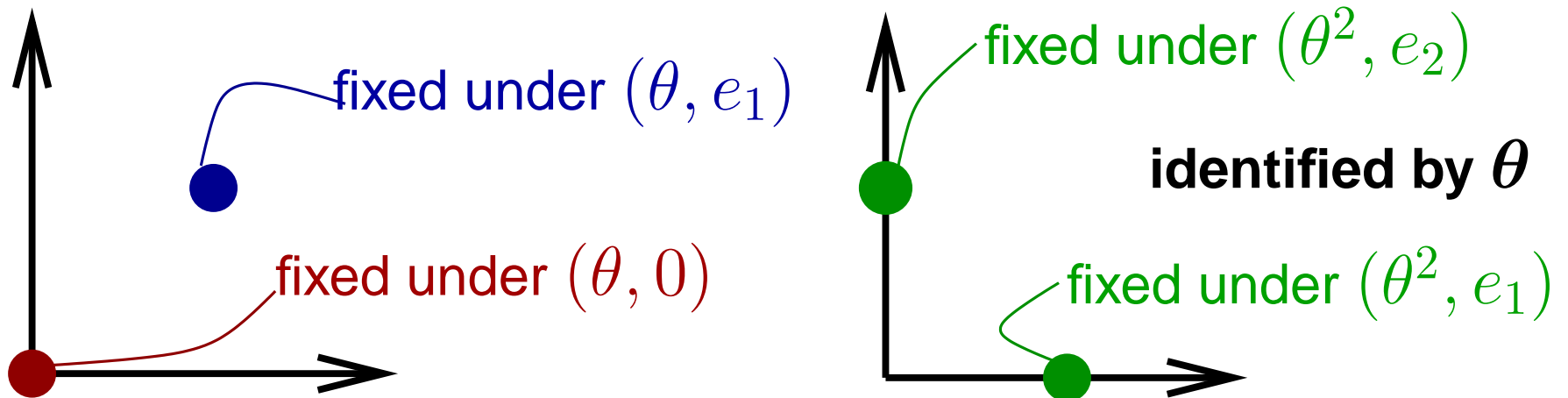


# Localized gauge symmetries



# A $T_2/Z_4$ toy example

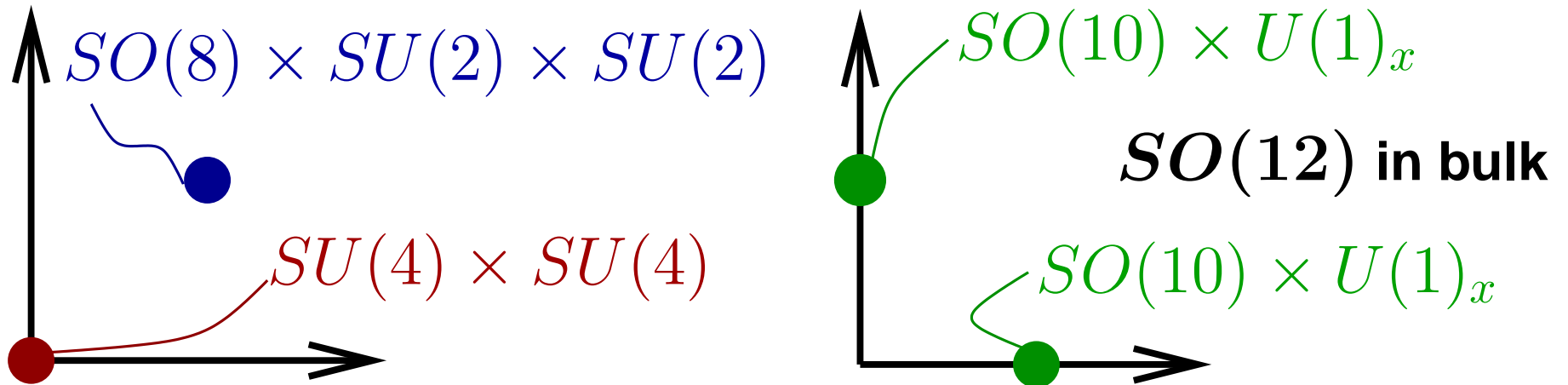
Consider the  $T_2/Z_4$  orbifold, where we have two different types of fixed points



under rotation of  $\theta = \pi/2$  and shift of the lattice vectors.

# A $T_2/Z_4$ toy example

For a suitable embedding of twist and shift in the gauge group  $SO(12)$  we have the following **local gauge group structure**



This allows **split representations compatible with  $P_6$**  and does not require huge representations for the breakdown of  $SO(12)$ .

# The top-down picture

Can we incorporate this in globally consistent string models? The above example of  $P_6$  from  $SO(12)$

- has been realized in a  $T_6/(Z_4 \times Z_4)$  orbifold
- with vectorlike exotics

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Models of the Mini-Landscape  $T_6/Z_6$

- would have  $SU(6)$  instead of  $SO(12)$
- are not too well suited
- but proton hexality could come from an accidental  $U(1)$  symmetry

# Lessons

Hexality can appear in the framework of the heterotic braneworld as

- a subgroup of a **nonanomalous** gauge symmetry
- a subgroup of a **anomalous** gauge symmetry
- **accidental** global symmetry

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Note that we have consistent string models with exact global symmetries.

So we do not have to discuss things like “**anomaly free discrete symmetries**”, that might be useful in a bottom-up approach.

# Outlook

String theory might provide us with a **consistent** UV-completion of the MSSM including

- Local Grand Unification and
- discrete (accidental) symmetries.

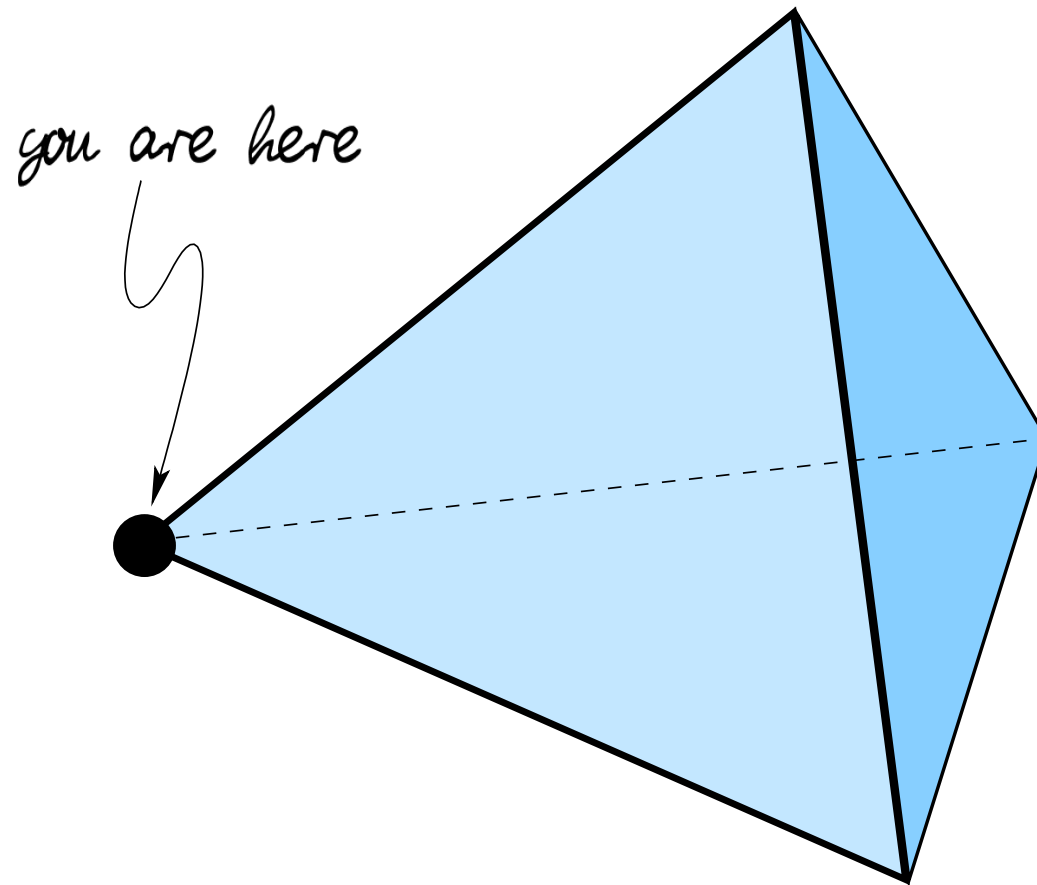
**Geography of extra dimensions** plays a crucial role:

Local Grand Unification is the right way to proceed.

**We seem to live at a special place in the extra dimensions!**



# Where do we live?



# Comparison to TypeII braneworld

- strategy based on **geometrical intuition** is successful
- properties of models can trace back the geometry of extra dimensions
- **heterotic versus Type II braneworld**
  - bulk gauge group
  - complete chiral multiplets
  - chiral exotics
  - R-parity (B-L and seesaw mechanism)
- **localization of fields at various “corners” of Calabi-Yau manifold**
- **remnants of Grand Unification indicate that we live in a special place of the compactified extra dimensions!**

# Conclusion

String theory provides us with **new ideas for particle physics** model building, leading to concepts such as

- **MSSM via Local Grand Unification**
- **Accidental symmetries (of discrete origin)**

**Geography of extra dimensions** plays a crucial role:

- **localization** of fields on branes,
- **sequestered sectors and mirage mediation**

**We seem to live at a special place in the extra dimensions!**

The LHC might clarify the case for (local) grand unification.